

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Calamos	Calamos Convertible Fund Class A	128119401	CCVIX	2.25		.25	1.13000		SELL ONLY
Calamos	Calamos Convertible Fund Class C	128119823	CCVCX		1.00	1.00	1.88000		SELL ONLY
Calamos	Calamos Convertible Fund Institutional Class	128119864	CICVX				0.88000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class A	128120839	CADVX	4.75		.25	2.10000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class C	128120821	CCDVX		1.00	1.00	2.85000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class I	128120813	CIDVX				1.85000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Class A	128119161	CNWX	4.75		.25	1.63000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Class C	128119146	CNWD		1.00	1.00	2.38000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Class I	128119138	CNWIX				1.38000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class A	128120748	CAGCX	2.25		.25	1.34000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class C	128120730	CCGCX		1.00	1.00	2.09000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class I	128120722	CXGCX				1.09000		SELL ONLY
Calamos	Calamos Global Equity Fund Class A	128119484	CAGEX	4.75		.25	1.51000		SELL ONLY
Calamos	Calamos Global Equity Fund Class C	128119468	CCGEX		1.00	1.00	2.26000		SELL ONLY
Calamos	Calamos Global Equity Fund Institutional Class	128119450	CIGEX				1.26000		SELL ONLY
Calamos	Calamos Global Funds PLC - Calamos Short-Term Bond Fund Class A	128120441	CSTBX	2.25		.25	0.64000		SELL ONLY
Calamos	Calamos Global Funds PLC - Calamos Short-Term Bond Fund Class I	128120433	CSTIX				0.39000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class A	128119500	CVLOX	4.75		.25	1.49000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class C	128119708	CVLCX		1.00	1.00	2.24000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class I	128119609	CGCIX				1.24000		SELL ONLY
Calamos	Calamos Growth & Income Fund Class A	128119104	CVTRX	4.75		.25	1.06000		SELL ONLY

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Calamos	Calamos Growth & Income Fund Class C	128119831	CVTCX		1.00	1.00	1.81000		SELL ONLY
Calamos	Calamos Growth & Income Fund Institutional Class	128119872	CGIIX				0.81000		SELL ONLY
Calamos	Calamos Growth Fund Class A	128119302	CVGRX	4.75		.25	1.32000		SELL ONLY
Calamos	Calamos Growth Fund Class C	128119856	CVGCX		1.00	1.00	2.07000		SELL ONLY
Calamos	Calamos Growth Fund Institutional Class	128119807	CGRIX				1.07000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class A	128120698	CAHEX	4.75		.25	1.17000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class C	128120680	CHEX		1.00	1.00	1.92000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class I	128120672	CIHEX				0.92000		SELL ONLY
Calamos	Calamos High Income Opportunities Fund Class A	128119815	CHYDX	2.25		.25	1.43000	1.00	SELL ONLY
Calamos	Calamos High Income Opportunities Fund Class C	128119799	CCHYX		1.00	1.00	2.18000	1.00	SELL ONLY
Calamos	Calamos High Income Opportunities Fund Class I	128119781	CIHYX				1.18000	1.00	SELL ONLY
Calamos	Calamos International Growth Fund Class A	128119575	CIGRX	4.75		.25	1.49000		SELL ONLY
Calamos	Calamos International Growth Fund Class C	128119559	CIGCX		1.00	1.00	2.24000		SELL ONLY
Calamos	Calamos International Growth Fund Institutional Class	128119542	CIGIX				1.24000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Class A	128119203	CVSIX	2.75		.25	1.22000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Class C	128119849	CVSCX		1.00	1.00	1.97000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Institutional Class	128119880	CMNIX				0.97000		SELL ONLY
Calamos	Calamos Phineus Long/Short Fund Class A	128120656	CPLSX	4.75		.25	2.38000		SELL ONLY
Calamos	Calamos Phineus Long/Short Fund Class C	128120649	CPCLX		1.00	1.00	3.13000		SELL ONLY
Calamos	Calamos Phineus Long/Short Fund Class I	128120631	CPLIX				2.13000		SELL ONLY
Calamos	Calamos Select Fund Class A	128119666	CVAAX	4.75		.25	1.62000		SELL ONLY
Calamos	Calamos Select Fund Class C	128119641	CVACX		1.00	1.00	2.37000		SELL ONLY

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Calamos	Calamos Select Fund Institutional Class	128119633	CVAIX				1.37000		SELL ONLY
Calamos	Calamos Timpani Small Cap Growth Fund Class A	128120417	CTASX	4.75		.25	1.37000		SELL ONLY
Calamos	Calamos Timpani Small Cap Growth Fund Class C	128120318	CTCSX			1.00	2.12000		SELL ONLY
Calamos	Calamos Timpani Small Cap Growth Fund Class I	128120391	CTSIX				1.12000		SELL ONLY
Calamos	Calamos Timpani SMID Growth Fund Class A	128120375	CTAGX	4.75		.25	1.98000		SELL ONLY
Calamos	Calamos Timpani SMID Growth Fund Class I	128120367	CTIGX				1.73000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class A	128119310	CTRAX	2.25		.25	1.24000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class C	128119286	CTRCX		1.00	1.00	1.99000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class I	128119278	CTRIX				0.99000		SELL ONLY
Calvert Research and Management	Calvert Balanced Fund Class A	131618100	CSIFX	5.25		.24	0.92000		BUY,SELL
Calvert Research and Management	Calvert Balanced Fund Class C	131618506	CSGCX		1.00	1.00	1.68000		SELL ONLY
Calvert Research and Management	Calvert Balanced Fund Class I	131618829	CBAIX				0.68000		SELL ONLY
Calvert Research and Management	Calvert Balanced Fund Class R6	131618522	CBARX				0.62000		SELL ONLY
Calvert Research and Management	Calvert Bond Fund Class A	131618407	CSIBX	3.25		.20	0.76000		BUY,SELL
Calvert Research and Management	Calvert Bond Fund Class C	131618605	CSBCX		1.00	1.00	1.56000		SELL ONLY
Calvert Research and Management	Calvert Bond Fund Class I	131618787	CBDIX				0.56000		SELL ONLY
Calvert Research and Management	Calvert Bond Fund Class R6	131582322	CBORX				0.48000		SELL ONLY
Calvert Research and Management	Calvert Conservative Allocation Fund Class A	131618696	CCLAX	5.25		.25	0.95000		BUY,SELL

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Calvert Research and Management	Calvert Conservative Allocation Fund Class C	131618670	CALCX		1.00	1.00	1.70000		SELL ONLY
Calvert Research and Management	Calvert Conservative Allocation Fund Class I	131618662	CFAIX				0.70000		SELL ONLY
Calvert Research and Management	Calvert Conservative Allocation Fund Class R6	131618514	CAARX				0.63000		SELL ONLY
Calvert Research and Management	Calvert Core Bond Fund Class A	131582710	CLDAX	3.25		.25	0.84000		BUY,SELL
Calvert Research and Management	Calvert Core Bond Fund Class I	131582330	CLDIX				0.59000		SELL ONLY
Calvert Research and Management	Calvert Core Bond Fund Class R6	131582116	CLDRX				0.56000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Advancement Fund Class A	131649733	CEFAX	5.25		.25	1.35000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Advancement Fund Class I	131649717	CEFIX				1.10000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Equity Fund Class A	131649816	CVMAX	5.25		.25	1.28000		BUY,SELL
Calvert Research and Management	Calvert Emerging Markets Equity Fund Class C	131649790	CVMCX		1.00	1.00	2.03000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Equity Fund Class I	131649774	CVMIX				1.03000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Equity Fund Class R6	131649766	CVMRX				0.96000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Focused Growth Fund Class A	13161X832	CEMAX	5.25	1.00	.25	5.64000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Focused Growth Fund Class C	13161X824	CEMCX		1.00	1.00	6.39000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Focused Growth Fund Class I	13161X816	CMEIX				5.39000		SELL ONLY
Calvert Research and Management	Calvert Emerging Markets Focused Growth Fund Class R6	13161X790	CMERX				5.39000		SELL ONLY
Calvert Research and Management	Calvert Equity Fund Class A	131618308	CSIEX	5.25		.25	0.91000		BUY,SELL
Calvert Research and Management	Calvert Equity Fund Class C	131618704	CSECX		1.00	1.00	1.66000		SELL ONLY

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Calvert Research and Management	Calvert Equity Fund Class I	131618795	CEYIX				0.66000		SELL ONLY
Calvert Research and Management	Calvert Equity Fund Class R6	131582280	CEYRX				0.59000		SELL ONLY
Calvert Research and Management	Calvert Flexible Bond Fund Class A	13161X105	CUBAX	3.25		.25	0.93000		SELL ONLY
Calvert Research and Management	Calvert Flexible Bond Fund Class C	13161X204	CUBCX		1.00	1.00	1.68000		SELL ONLY
Calvert Research and Management	Calvert Flexible Bond Fund Class I	13161X303	CUBIX				0.68000		SELL ONLY
Calvert Research and Management	Calvert Floating-Rate Advantage Fund Class A	13161X808	CFOAX	3.25		.25	3.08000		SELL ONLY
Calvert Research and Management	Calvert Floating-Rate Advantage Fund Class I	13161X873	CFOIX				2.83000		SELL ONLY
Calvert Research and Management	Calvert Focused Value Fund Class A	131582165	CRFAX	5.25		.25	1.21000		SELL ONLY
Calvert Research and Management	Calvert Focused Value Fund Class C	131582157	CRFCX		1.00	1.00	1.96000		SELL ONLY
Calvert Research and Management	Calvert Focused Value Fund Class I	131582140	CRFIX				0.96000		SELL ONLY
Calvert Research and Management	Calvert Focused Value Fund Class R6	131582132	CRFRX				0.96000		SELL ONLY
Calvert Research and Management	Calvert Global Energy Solutions Fund Class A	13161P847	CGAEX	5.25		.25	1.43000		BUY,SELL
Calvert Research and Management	Calvert Global Energy Solutions Fund Class C	13161P821	CGACX		1.00	1.00	2.18000		SELL ONLY
Calvert Research and Management	Calvert Global Energy Solutions Fund Class I	13161P813	CAEIX				1.18000		SELL ONLY
Calvert Research and Management	Calvert Global Equity Fund Class A	13161X782	CGLAX	5.25		.25	2.45000		SELL ONLY
Calvert Research and Management	Calvert Global Equity Fund Class I	13161X774	CGLIX				2.20000		SELL ONLY
Calvert Research and Management	Calvert Global Real Estate Fund Class A	131582215	CGRAX	5.25		.25	5.38000		SELL ONLY
Calvert Research and Management	Calvert Global Real Estate Fund Class C	131582199	CGECX		1.00	1.00	6.13000		SELL ONLY

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Calvert Research and Management	Calvert Global Real Estate Fund Class I	131582181	CGEIX				5.13000		SELL ONLY
Calvert Research and Management	Calvert Global Real Estate Fund Class R6	131582173	CGRRX				5.13000		SELL ONLY
Calvert Research and Management	Calvert Global Small-Cap Equity A	13161X766	CSMAX	5.25		.25	1.89000		SELL ONLY
Calvert Research and Management	Calvert Global Small-Cap Equity C	13161X758	CSQCX		1.00	1.00	2.64000		SELL ONLY
Calvert Research and Management	Calvert Global Small-Cap Equity I	13161X741	CSPIX				1.64000		SELL ONLY
Calvert Research and Management	Calvert Global Water Fund Class A	13161P797	CFWAX	5.25		.25	1.29000		BUY,SELL
Calvert Research and Management	Calvert Global Water Fund Class C	13161P789	CFWCX		1.00	1.00	2.04000		SELL ONLY
Calvert Research and Management	Calvert Global Water Fund Class I	13161P698	CFWIX				1.04000		SELL ONLY
Calvert Research and Management	Calvert Green Bond Fund Class A	13161P730	CGAFX	3.25		.25	0.78000		BUY,SELL
Calvert Research and Management	Calvert Green Bond Fund Class I	13161P714	CGBIX				0.53000		SELL ONLY
Calvert Research and Management	Calvert Green Bond Fund Class R6	13161P680	CBGRX				0.48000		SELL ONLY
Calvert Research and Management	Calvert Growth Allocation Fund Class A	131618647	CAAAX	5.25		.25	0.98000		BUY,SELL
Calvert Research and Management	Calvert Growth Allocation Fund Class C	131618621	CAACX		1.00	1.00	1.73000		SELL ONLY
Calvert Research and Management	Calvert Growth Allocation Fund Class I	131618613	CAGIX				0.73000		SELL ONLY
Calvert Research and Management	Calvert Growth Allocation Fund Class R6	131618498	CGARX				0.66000		SELL ONLY
Calvert Research and Management	Calvert High Yield Bond Fund Class A	131582454	CYBAX	3.25		.25	1.02000		BUY,SELL
Calvert Research and Management	Calvert High Yield Bond Fund Class C	131582447	CHBCX		1.00	1.00	1.77000		SELL ONLY
Calvert Research and Management	Calvert High Yield Bond Fund Class I	131582439	CYBIX				0.77000		SELL ONLY

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Calvert Research and Management	Calvert High Yield Bond Fund Class R6	131582272	CYBRX				0.69000		SELL ONLY
Calvert Research and Management	Calvert Income Fund Class A	131582207	CFICX	3.25		.25	0.93000		BUY,SELL
Calvert Research and Management	Calvert Income Fund Class C	131582744	CIFCX		1.00	1.00	1.68000		SELL ONLY
Calvert Research and Management	Calvert Income Fund Class I	131582793	CINCX				0.68000		SELL ONLY
Calvert Research and Management	Calvert Income Fund Class R6	131582124	CINRX				0.63000		SELL ONLY
Calvert Research and Management	Calvert International Equity Fund Class A	131649105	CWVGX	5.25		.25	1.20000		BUY,SELL
Calvert Research and Management	Calvert International Equity Fund Class C	131649204	CWVCX		1.00	1.00	1.96000		SELL ONLY
Calvert Research and Management	Calvert International Equity Fund Class I	131649808	CWVIX				0.95000		SELL ONLY
Calvert Research and Management	Calvert International Equity Fund Class R6	131649741	CIESX				0.92000		SELL ONLY
Calvert Research and Management	Calvert International Opportunities Fund Class A	131649881	CIOAX	5.25		.25	1.33000		BUY,SELL
Calvert Research and Management	Calvert International Opportunities Fund Class C	131649865	COICX		1.00	1.00	2.08000		SELL ONLY
Calvert Research and Management	Calvert International Opportunities Fund Class I	131649857	COIIX				1.08000		SELL ONLY
Calvert Research and Management	Calvert International Opportunities Fund Class R6	131649758	COIRX				1.01000		SELL ONLY
Calvert Research and Management	Calvert International Responsible Index Fund Class A	13161Y509	CDHAX	4.75		.25	0.65000		BUY,SELL
Calvert Research and Management	Calvert International Responsible Index Fund Class I	13161Y707	CDHIX				0.40000		SELL ONLY
Calvert Research and Management	Calvert International Responsible Index Fund Class R6	13161Y848	CDHRX				0.37000		SELL ONLY
Calvert Research and Management	Calvert Mid-Cap Fund Class A	131649303	CCAFX	5.25		.25	1.26000		BUY,SELL
Calvert Research and Management	Calvert Mid-Cap Fund Class C	131649402	CCACX		1.00	1.00	2.01000		SELL ONLY

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Calvert Research and Management	Calvert Mid-Cap Fund Class I	131649709	CCPIX				1.01000		SELL ONLY
Calvert Research and Management	Calvert Moderate Allocation Fund Class A	131618738	CMAAX	5.25		.25	0.95000		BUY,SELL
Calvert Research and Management	Calvert Moderate Allocation Fund Class C	131618712	CMACX		1.00	1.00	1.70000		SELL ONLY
Calvert Research and Management	Calvert Moderate Allocation Fund Class I	131618654	CLAIX				0.70000		SELL ONLY
Calvert Research and Management	Calvert Moderate Allocation Fund Class R6	131618480	CAMRX				0.64000		SELL ONLY
Calvert Research and Management	Calvert Mortgage Access Fund Class A	131582256	CMMAX	3.25		.25	1.45000		SELL ONLY
Calvert Research and Management	Calvert Mortgage Access Fund Class C	131582249	CMMCX		1.00	1.00	2.20000		SELL ONLY
Calvert Research and Management	Calvert Mortgage Access Fund Class I	131582231	CMMIX				1.20000		SELL ONLY
Calvert Research and Management	Calvert Mortgage Access Fund Class R6	131582223	CMMRX				1.20000		SELL ONLY
Calvert Research and Management	Calvert Responsible Municipal Income Fund Class C	13161X501	CTTCX		1.00	1.00	1.64000		SELL ONLY
Calvert Research and Management	Calvert Responsible Municipal Income Fund Class I	13161X600	CTTIX				0.64000		SELL ONLY
Calvert Research and Management	Calvert Short Duration Income Fund Class A	13161T104	CSDAX	2.25		.25	0.77000		BUY,SELL
Calvert Research and Management	Calvert Short Duration Income Fund Class C	13161T302	CDICX		1.00	1.00	1.52000		SELL ONLY
Calvert Research and Management	Calvert Short Duration Income Fund Class I	13161T401	CDSIX				0.52000		SELL ONLY
Calvert Research and Management	Calvert Short Duration Income Fund Class R6	131582264	CDSRX				0.45000		SELL ONLY
Calvert Research and Management	Calvert Small/Mid-Cap A	13161X733	CMPAX	5.25		.25	1.27000		SELL ONLY
Calvert Research and Management	Calvert Small/Mid-Cap C	13161X725	CMPCX		1.00	1.00	2.02000		SELL ONLY
Calvert Research and Management	Calvert Small/Mid-Cap I	13161X717	CMCIX				1.02000		SELL ONLY

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Calvert Research and Management	Calvert Small-Cap Fund Class A	13161P508	CCVAX	5.25		.25	1.19000		BUY,SELL
Calvert Research and Management	Calvert Small-Cap Fund Class C	13161P805	CSCCX		1.00	1.00	1.94000		SELL ONLY
Calvert Research and Management	Calvert Small-Cap Fund Class I	13161P862	CSVIX				0.94000		SELL ONLY
Calvert Research and Management	Calvert Small-Cap Fund Class R6	13161P672	CALRX				0.86000		SELL ONLY
Calvert Research and Management	Calvert Ultra-Short Duration Income Fund Class A	131582611	CULAX			.25	0.77000		SELL ONLY
Calvert Research and Management	Calvert Ultra-Short Duration Income Fund Class I	131582348	CULIX				0.52000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Core Responsible Index Fund Class A	131582785	CSXAX	4.75		.25	0.60000		BUY,SELL
Calvert Research and Management	Calvert US Large Cap Core Responsible Index Fund Class C	131582769	CSXCX		1.00	1.00	1.35000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Core Responsible Index Fund Class I	131582751	CISIX				0.35000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Core Responsible Index Fund Class R6	131582298	CSXRX				0.30000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Growth Responsible Index Fund Class A	13162A104	CGJAX	4.75		.25	0.66000		BUY,SELL
Calvert Research and Management	Calvert US Large Cap Growth Responsible Index Fund Class I	13162A807	CGJIX				0.41000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Growth Responsible Index Fund Class R6	131618472	CLGRX				0.37000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Value Responsible Index Fund Class A	13162A401	CFJAX	4.75		.25	0.63000		BUY,SELL
Calvert Research and Management	Calvert US Large Cap Value Responsible Index Fund Class I	13162A708	CFJIX				0.38000		SELL ONLY
Calvert Research and Management	Calvert US Large Cap Value Responsible Index Fund Class R6	131618464	CLVRX				0.33000		SELL ONLY
Calvert Research and Management	Calvert US Mid Cap Core Responsible Index Fund Class A	13161Y103	CMJAX	4.75		.25	0.67000		BUY,SELL
Calvert Research and Management	Calvert US Mid Cap Core Responsible Index Fund Class I	13161Y301	CMJIX				0.42000		SELL ONLY

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Calvert Research and Management	Calvert US Mid Cap Core Responsible Index Fund Class R6	131618456	CMCRX				0.38000		SELL ONLY
Cambiar Funds	Cambiar International Equity Fund Investor Class	00758M139	CAMIX				1.25000	2.00	SELL ONLY
Cambiar Funds	Cambiar International Equity Institutional	00769G543	CAMYX				1.16000	2.00	SELL ONLY
Cambiar Funds	Cambiar Opportunity Fund Class Institutional	0075W0825	CAMW X				0.79000		SELL ONLY
Cambiar Funds	Cambiar Opportunity Fund Class Investor	00758M261	CAMOX				1.00000		SELL ONLY
Cambiar Funds	Cambiar Small Cap Fund Class Investor	0075W0817	CAMSX				1.27000	2.00	SELL ONLY
Cambiar Funds	Cambiar Small Cap Institutional Class	0075W0593	CAMZX				1.12000	2.00	SELL ONLY
Cambiar Funds	Cambiar SMID Fund Institutional Class	00769G311	CAMUX				1.04000	2.00	SELL ONLY
Cambiar Funds	Cambiar SMID Fund Investor Class Shares	00769G766	CAMMX				1.11000	2.00	SELL ONLY
Cantor	Cantor Fitzgerald Equity Dividend Plus Fund Class A	138624408	FBPGX	5.75		.25	1.42000		SELL ONLY
Cantor	Cantor Fitzgerald Equity Dividend Plus Fund Institutional Class	138624507	FBPEX				1.30000		SELL ONLY
Cantor	Cantor Fitzgerald International Equity Fund Class A	138624705	CFIOX	5.75		.25	2.44000		SELL ONLY
Cantor	Cantor Fitzgerald International Equity Fund Class F	138624879	CFIJX				1.99000		SELL ONLY
Cantor	Cantor Fitzgerald International Equity Fund Institutional Class	138624804	CFIKX				2.19000		SELL ONLY
Cantor	Cantor Fitzgerald Large Cap Focused Fund Class A	138624101	FICGX	5.75		.25	1.30000		SELL ONLY
Cantor	Cantor Fitzgerald Large Cap Focused Fund Class R6	138624200	FICIX				0.92000		SELL ONLY
Cantor	Cantor Fitzgerald Large Cap Focused Fund Institutional Class	138624309	FICHX				0.99000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Mid Cap Value Class I	16140T301	BERCX			.00	1.49000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Mid Cap Value Fund Class A	14214M393	BERAX	4.75		.25	1.79000		SELL ONLY

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Carillon Family of Funds	Carillon Chartwell Mid Cap Value Fund Class C	14214M385	BERBX		1.00	1.00	2.54000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Real Income Class I	16140T202	BERIX			.00	0.73000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Real Income Fund Class A	14214M468	BERGX	3.75		.25	1.03000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Real Income Fund Class C	14214M450	BERHX		1.00	1.00	1.78000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Short Duration High Yield Class I	16140T400	CWFIX			.00	0.60000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Short Duration High Yield Fund Class A	14214M435	CWFAX	3.75		.25	0.90000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Short Duration High Yield Fund Class C	14214M427	CWFCX		1.00	1.00	1.65000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Growth Class I	16140T608	CWSGX			.00	2.39000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Growth Fund Class A	14214M369	CWSAX	4.75		.25	2.69000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Growth Fund Class C	14214M351	CWSBX		1.00	1.00	3.44000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Value Class I	16140T509	CWSIX			.00	1.26000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Value Fund Class A	14214M336	CWSCX	4.75		.25	1.56000		SELL ONLY
Carillon Family of Funds	Carillon Chartwell Small Cap Value Fund Class C	14214M328	CWSHX		1.00	1.00	2.31000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fund Class A	14214L106	HRCPX	4.75		.25	1.16000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fund Class C	14214L205	HRCCX	4.75		1.00	1.89000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fund Class I	14214L304	HRCIX	4.75		.00	0.91000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund Class A	14214L825	EISAX	4.75		.25	1.28000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund Class C	14214L817	EISDX	4.75		1.00	2.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Carillon Family of Funds	Carillon ClariVest International Stock Fund Class I	14214L791	EISIX	4.75		.00	1.04000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Class A	14214L809	HRCVX	4.75		.25	0.98000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Class C	14214L882	HIGCX	4.75		1.00	1.71000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Class I	14214L874	HIGJX	4.75		.00	0.72000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class A	14214L668	HAGAX	4.75		.25	1.05000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class C	14214L650	HAGCX	4.75		1.00	1.73000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class I	14214L643	HAGIX	4.75		.00	0.73000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Class A	14214L510	HRSCX	4.75		.25	1.18000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Class C	14214L494	HSCCX	4.75		1.00	1.88000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Class I	14214L486	HSIIX	4.75		.00	0.89000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class A	14214L270	CRCBX	3.75		.25	0.92000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class C	14214L262	CRCDX	3.75		1.00	1.65000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class I	14214L254	SCCIX	3.75		.00	0.67000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Class A	14214M666	SCPDX	3.75		.25	0.90000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Class C	14214M658	SCPEX	3.75		1.00	1.65000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Class I	14214M641	SCPZX	3.75		.00	0.64000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund Class A	14214M740	SUBDX	3.75		.25	0.99000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund Class C	14214M732	SUBEX	3.75		1.00	1.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund Class I	14214M724	SUBFX	3.75		.00	0.76000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class A	14214M807	CSMEX	4.75		.25	1.25000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class C	14214M880	CSMFX	4.75		1.00	1.98000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class I	14214M872	UMBXM	4.75		.00	0.98000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class A	14214M823	CSSAX	4.75		.25	1.20000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class C	14214M815	CSSJX	4.75		1.00	1.94000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class I	14214M799	UMBHX	4.75		.00	0.96000		SELL ONLY
Causeway	Causeway Emerging Markets Fund Class Institutional	149498107	CEMIX				1.16000		SELL ONLY
Causeway	Causeway Emerging Markets Fund Investor Class	149498206	CEMVX				1.41000		SELL ONLY
Causeway	Causeway Global Value Fund Institutional Class	14949P307	CGVIX				1.29000		SELL ONLY
Causeway	Causeway Global Value Fund Investor	14949P604	CGVVX				1.54000		SELL ONLY
Causeway	Causeway International Opportunities Fund Institutional Class	14949Q107	CIOIX				1.02000		SELL ONLY
Causeway	Causeway International Opportunities Fund Investor Class	14949Q206	CIOVX				1.27000		SELL ONLY
Causeway	Causeway International Small Cap Fund Institutional Class	14949P703	CIISX				1.31000		SELL ONLY
Causeway	Causeway International Small Cap Fund Investor Class	14949P802	CVISX				1.56000		SELL ONLY
Causeway	Causeway International Value Fund Class Institutional	14949P208	CIVIX				0.90000		SELL ONLY
Causeway	Causeway International Value Fund Class Investor	14949P109	CIVVX				1.15000		SELL ONLY
Champlain Funds	Champlain Mid Cap Fund Advisor Class	00764Q744	CIPMX			.25	1.09000		SELL ONLY
Champlain Funds	Champlain Mid Cap Fund Institutional Class	00766Y513	CIPIX				0.84000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Champlain Funds	Champlain Small Company Fund Class Advisor	00764Q405	CIPSX			.25	1.26000		SELL ONLY
Champlain Funds	Champlain Small Company Fund Institutional Class	00766Y190	CIPNX				1.01000		SELL ONLY
Clipper Fund	Clipper Fund	188850101	CFIMX			.00	0.71000		SELL ONLY
Cohen & Steers	Cohen & Steers Future of Energy Fund, Inc. Class A	19249F107	MLOAX	4.50		.25	1.51000		SELL ONLY
Cohen & Steers	Cohen & Steers Future of Energy Fund, Inc. Class C	19249F206	MLOCX		1.00	.75	2.16000		SELL ONLY
Cohen & Steers	Cohen & Steers Future of Energy Fund, Inc. Class I	19249F305	MLOIX				1.24000		SELL ONLY
Cohen & Steers	Cohen & Steers Future of Energy Fund, Inc. Class Z	19249F404	MLOZX				1.16000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund, Inc. Class A	19248B107	CSUAX	4.50		.25	1.21000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund, Inc. Class C	19248B305	CSUCX		1.00	.75	1.86000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund, Inc. Class I	19248B404	CSUIX				0.94000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund, Inc. Class Z	19248B602	CSUZX				0.86000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc. Class A	19247N102	CSFAX	4.50		.25	1.19000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc. Class C	19247N300	CSFCX		1.00	.75	1.84000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc. Class I	19247N409	CSSPX				0.92000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc. Class Z	19247N607	CSFZX				0.84000		SELL ONLY
Cohen & Steers	Cohen & Steers Institutional Realty Shares	19247U106	CSRIX				0.76000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund Class A	19248H104	IRFAX	4.50		.25	1.46000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund Class C	19248H302	IRFCX		1.00	.75	2.11000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund Class I	19248H401	IRFIX				1.19000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Cohen & Steers	Cohen & Steers International Realty Fund Class Z	19248H609	IRFZX				1.11000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred and Income Fund Class A	19249L104	LPXAX	2.00		.35	1.10000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred and Income Fund Class C	19249L203	LPXCX		1.00	1.00	1.75000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred and Income Fund Class F	19249L609	LPXFX				0.75000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred and Income Fund Class I	19249L302	LPXIX				0.81000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred and Income Fund Class Z	19249L500	LPXZX				0.75000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and Income Fund, Inc. Class A	19248X109	CPXAX	3.75		.25	1.14000		BUY,SELL
Cohen & Steers	Cohen & Steers Preferred Securities and Income Fund, Inc. Class C	19248X208	CPXCX		1.00	.75	1.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and Income Fund, Inc. Class F	19248X604	CPXFX				0.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and Income Fund, Inc. Class I	19248X307	CPXIX				0.85000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and Income Fund, Inc. Class Z	19248X505	CPXZX				0.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Class A	19249C104	RAPAX	4.50		.25	1.28000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Class C	19249C203	RAPCX		1.00	.75	1.93000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Class I	19249C302	RAPIX				1.01000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Class Z	19249C500	RAPZX				0.93000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fund, Inc. Class A	191912104	CSEIX	4.50		.25	1.10000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fund, Inc. Class C	191912302	CSCIX		1.00	.75	1.75000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fund, Inc. Class F	191912708	CREFX				0.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Cohen & Steers	Cohen & Steers Real Estate Securities Fund, Inc. Class Institutional	191912401	CSDIX				0.84000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fund, Inc. Class Z	191912609	CSZIX				0.75000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class A	192476208	CSJAX	4.50			1.19000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class C	192476307	CSJCX		1.00		1.84000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class I	192476505	CSJIX				0.92000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class L	192476109	CSRSX				0.94000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class Z	192476703	CSJZX				0.84000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Advisor Class	197199292	CLOFX			.00	1.67000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Class A	197199573	CAEAX	5.75		.25	1.92000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Institutional Class	197199540	CAEZX			.00	1.67000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Advisor Class	197199490	CEARX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Class A	197199102	LACAX	5.75		.25	1.15000		BUY,SELL
Columbia Threadneedle	Columbia Acorn Fund Class C	197199300	LIACX		1.00	1.00	1.90000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Institutional 3 Class	197199474	CRBYX			.00	0.83000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Institutional Class	197199409	ACRNX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Advisor Class	197199466	CCIRX			.00	1.12000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Class A	197199847	LAIAX	5.75		.25	1.37000		BUY,SELL
Columbia Threadneedle	Columbia Acorn International Fund Institutional 3 Class	197199458	CCYIX			.00	1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Acorn International Fund Institutional Class	197199813	ACINX			.00	1.12000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund Advisor Class	197199441	CILRX			.00	1.30000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund Class A	197199797	LAFAX	5.75		.25	1.55000		BUY,SELL
Columbia Threadneedle	Columbia Acorn International Select Fund Institutional 3 Class	197199425	CSIRX			.00	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund Institutional Class	197199763	ACFFX			.00	1.30000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund Advisor Class	19766D170	CARRX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund Class A	19765Y258	CRAAX	5.75		.25	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund Class C	19765Y241	CRACX		1.00	1.00	1.81000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund Institutional 3 Class	19766D162	CARYX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund Institutional Class	19765Y175	CRAZX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Advisor Class	19766M105	CBDRX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Class A	19765P471	CBLAX	5.75		.25	0.93000		BUY,SELL
Columbia Threadneedle	Columbia Balanced Fund Class C	19765P455	CBLCX		1.00	1.00	1.68000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Institutional 3 Class	19766M204	CBDYX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Institutional Class	19765P430	CBALX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Advisor Class	19766M303	CNDRX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Class A	19765Y704	CNDAX	4.75		.25	0.90000		BUY,SELL
Columbia Threadneedle	Columbia Bond Fund Institutional 3 Class	19765Y274	CBFYX			.00	0.54000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Bond Fund Institutional Class	19765Y886	UMMGX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bond Fund Advisor Class	19766B158	CCMRX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bond Fund Institutional 3 Class	19766P827	CCBYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bond Fund Institutional Class	19765J673	NCMAX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Portfolio Advisor Class	19766C248	CPDAX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Portfolio Class A	19766G611	AXBAX	5.75		.25	1.03000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Aggressive Portfolio Class C	19766G587	RBGCX		1.00	1.00	1.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Portfolio Institutional 3 Class	19766N806	CPDIX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Portfolio Institutional Class	19766G553	CPAZX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative Portfolio Advisor Class	19766C263	CPCYX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative Portfolio Class A	19766G546	ABDAX	4.75		.25	0.96000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Conservative Portfolio Class C	19766G520	RPCCX		1.00	1.00	1.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative Portfolio Institutional Class	19766G488	CBVZX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Aggressive Portfolio Advisor Class	19766B489	CGBRX			.00	0.76000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Aggressive Portfolio Class A	19765H792	NBIAX	5.75		.25	1.01000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Aggressive Portfolio Class C	19765H776	NBICX		1.00	1.00	1.76000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Aggressive Portfolio Institutional 3 Class	19766B224	CPHNX			.00	0.67000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Capital Allocation Moderate Aggressive Portfolio Institutional Class	19765H768	NBGPX			.00	0.76000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Conservative Portfolio Advisor Class	19766B448	CHWRX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Conservative Portfolio Class A	19765H503	NLGAX	5.75		.25	0.93000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Conservative Portfolio Class C	19765H701	NIICX		1.00	1.00	1.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Conservative Portfolio Institutional Class	19765H800	NIPAX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Portfolio Advisor Class	19766C255	CPCZX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Portfolio Class A	19766G348	ABUAX	5.75		.25	0.95000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Portfolio Class C	19766G322	AMTCX		1.00	1.00	1.70000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Portfolio Institutional 3 Class	19766N608	CPDMX			.00	0.63000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Portfolio Institutional Class	19766G280	CBMZ			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Advisor Class	19766N889	CCOMX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Class A	19766J771	CCSAX	5.75		.25	1.11000		BUY,SELL
Columbia Threadneedle	Columbia Commodity Strategy Fund Institutional 3 Class	19766J623	CCFYX			.00	0.73000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Institutional Class	19766J748	CCSZX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Advisor Class	19766M501	CORRX			.00	0.75000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Class A	19765P109	LCCAX	5.75		.25	1.00000		BUY,SELL
Columbia Threadneedle	Columbia Contrarian Core Fund Class C	19765P307	LCCCX		1.00	1.00	1.75000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Institutional 3 Class	19766M709	COFYX			.00	0.63000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Contrarian Core Fund Institutional Class	19765P406	SMGIX			.00	0.75000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Advisor Class	19766B554	COVRX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Class A	19765H750	PACIX	5.75		.25	1.16000		BUY,SELL
Columbia Threadneedle	Columbia Convertible Securities Fund Class C	19765H735	PHIKX		1.00	1.00	1.91000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Institutional 3 Class	19766P702	CSFYX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Institutional Class	19765H727	NCIAX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Advisor Class	19766M808	CIFRX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Class A	19765N542	LIAX	4.75		.25	0.96000		BUY,SELL
Columbia Threadneedle	Columbia Corporate Income Fund Institutional 3 Class	19766M873	CRIYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Institutional Class	19765N518	SRINX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Advisor Class	19766N863	CLCQX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Class A	19763T640	AQEAX	5.75		.25	0.97000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Core Fund Class C	19763T624	RDCEX		1.00	1.00	1.72000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Institutional 3 Class	19766J565	CCQYX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Institutional Class	19763T566	CCRZX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Advisor Class	19766J557	CGQFX			.00	0.96000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Class A	19763T749	RDLAX	5.75		.25	1.21000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Growth Fund Class C	19763T723	RDLCX		1.00	1.00	1.96000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Disciplined Growth Fund Institutional 3 Class	19766J540	CGQYX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Institutional Class	19763T657	CLQZX			.00	0.96000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Advisor Class	19766J532	COLEX			.00	1.01000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Class A	19763T558	RLCAX	5.75		.25	1.26000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Value Fund Class C	19763T533	RDCCX		1.00	1.00	2.01000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Institutional Class	19763T467	CVQZX			.00	1.01000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Advisor Class	19766M865	CVIRX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Class A	19765N278	LBSAX	5.75		.25	0.90000		BUY,SELL
Columbia Threadneedle	Columbia Dividend Income Fund Class C	19765N252	LBSCX		1.00	1.00	1.65000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Institutional 3 Class	19766M840	CDDYX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Institutional Class	19765N245	GSFTX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Advisor Class	19766C578	CDORX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Class A	19763P283	INUTX	5.75		.25	1.05000		BUY,SELL
Columbia Threadneedle	Columbia Dividend Opportunity Fund Class C	19763P267	ACUIX		1.00	1.00	1.80000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Institutional 3 Class	19766C560	CDOYX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Institutional Class	19763P192	CDOZX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Advisor Class	19766N871	CEBSX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Class A	19763P184	REBAX	4.75		.25	1.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Institutional 3 Class	19766C545	CEBYX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Institutional Class	19763P127	CMBZX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Advisor Class	19766M469	CEMHX			.00	1.25000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Class A	19765Y878	EEMAX	5.75		.25	1.50000		BUY,SELL
Columbia Threadneedle	Columbia Emerging Markets Fund Class C	19765Y860	EEMCX		1.00	1.00	2.25000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Institutional 3 Class	19766M824	CEKYX			.00	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Institutional Class	19765Y852	UMEMX			.00	1.25000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Advisor Class	19766C537	CFCRX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Class A	19766J888	CFIAX	5.75		.25	1.10000		BUY,SELL
Columbia Threadneedle	Columbia Flexible Capital Income Fund Class C	19766J870	CFIGX		1.00	1.00	1.85000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Institutional 3 Class	19766J466	CFCYX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Institutional Class	19766J847	CFIZX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Advisor Class	19766C313	CFLRX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Class A	19766F696	RFRAX	3.00		.25	1.05000		BUY,SELL
Columbia Threadneedle	Columbia Floating Rate Fund Class C	19766F670	RFRGX		1.00	1.00	1.80000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Institutional 3 Class	19766J573	CFRYX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Institutional Class	19766F613	CFRZX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Advisor Class	19766C362	CSDRX			.00	0.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Global Opportunities Fund Class A	19766H221	IMRFX	5.75		.25	1.22000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Institutional Class	19766H155	CSAZX			.00	0.97000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund Advisor Class	19766M568	CTYRX			.00	0.94000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund Class A	19765P539	CTCAX	5.75		.25	1.19000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund Class C	19765P513	CTHCX		1.00	1.00	1.94000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund Institutional 3 Class	19767A647	CGTUX			.00	0.84000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund Institutional Class	19765P489	CMTFX			.00	0.94000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Advisor Class	19766F829	RSEVX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Class A	19766F878	IEVAX	5.75		.25	1.13000		BUY,SELL
Columbia Threadneedle	Columbia Global Value Fund Class C	19766F852	REVCX		1.00	1.00	1.88000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Institutional 3 Class	19766J730	CEVYX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Institutional Class	19766F779	CEVZX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Advisor Class	19766M444	CGCHX			.00	1.34000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Class A	19765L546	NGCAX	5.75		.25	1.59000		BUY,SELL
Columbia Threadneedle	Columbia Greater China Fund Institutional 3 Class	19767A464	CGCYX			.00	1.20000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Institutional Class	19765L512	LNGZX			.00	1.34000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Advisor Class	19766F225	CYLRX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Class A	19766F274	INEAX	4.75		.25	1.05000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia High Yield Bond Fund Class C	19766F258	APECX		1.00	1.00	1.80000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Institutional 3 Class	19766C495	CHYYX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Institutional Class	19766F175	CHYZX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Advisor Class	19766M436	CHIYX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Class C	19765P133	CHMCX		1.00	.80	1.47000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Institutional 3 Class	19767A373	CHHYX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Institutional Class	19765P125	SRHMX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Advisor Class	19766C487	CNMRX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Class A	19766F167	RBBAX	4.75		.25	0.97000		BUY,SELL
Columbia Threadneedle	Columbia Income Builder Fund Class C	19766F142	RBBCX		1.00	1.00	1.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Institutional 3 Class	19766J425	CIBYX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Institutional Class	19766F118	CBUZX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Advisor Class	19766C461	CPPRX			.00	0.82000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Class A	19763T103	AIOAX	4.75		.25	1.07000		BUY,SELL
Columbia Threadneedle	Columbia Income Opportunities Fund Class C	19763T301	RIOCX		1.00	1.00	1.82000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Institutional 3 Class	19763T806	CIOYX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Institutional Class	19763T889	CIOZX			.00	0.82000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Growth Fund Class A	19766Q106	ILGCX	5.75		.25	1.21000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Integrated Large Cap Growth Fund Class Advisor Class	19766Q403	ILGFX			.00	0.96000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Growth Fund Institutional 3 Class	19766Q700	ILGJX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Growth Fund Institutional Class	19766Q502	ILGGX			.00	0.96000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Value Fund Advisor Class	19766Q866	ILVEX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Value Fund Class A	19766Q809	ILVBX	5.75		.25	1.23000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Large Cap Value Fund Institutional Class	19766Q858	ILVFX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Small Cap Growth Fund Advisor Class	19766Q718	ISGLX			.00	1.36000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Small Cap Growth Fund Class A	19766Q742	ISGDY	5.75		.25	1.61000		SELL ONLY
Columbia Threadneedle	Columbia Integrated Small Cap Growth Fund Institutional Class	19766Q692	ISGNX			.00	1.36000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Duration Municipal Bond Fund Advisor Class	19766M428	CIMRX			.00	0.63000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Duration Municipal Bond Fund Class C	19765L660	LITCX		1.00	.80	1.43000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Duration Municipal Bond Fund Institutional 3 Class	19767A555	CIMYX			.00	0.50000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Duration Municipal Bond Fund Institutional Class	19765L637	SETMX			.00	0.63000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income Fund Advisor Class	19766M451	CGOLX			.00	1.10000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income Fund Class A	19765P216	CSVAX	5.75		.25	1.35000		BUY,SELL
Columbia Threadneedle	Columbia International Dividend Income Fund Institutional 3 Class	19765Y324	CLSYX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income Fund Institutional Class	19765P166	CSVFX			.00	1.10000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund Advisor Class	19766P801	CECFX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund Class A	19765H354	NMIAX			.25	1.23000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund Institutional 3 Class	19765M221	CECYX			.00	0.82000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund Institutional Class	19765H347	NMIMX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Advisor Class	19766M733	CCGRX			.00	0.75000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Class A	19765P695	LEGAX	5.75		.25	1.00000		BUY,SELL
Columbia Threadneedle	Columbia Large Cap Growth Fund Class C	19765P679	LEGCX		1.00	1.00	1.75000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Institutional 3 Class	19765Y365	CGFYX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Institutional Class	19765P661	GEGTX			.00	0.75000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity Fund Advisor Class	19766B414	CSFRX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity Fund Class A	19765H263	NFEAX	5.75		.25	1.13000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity Fund Institutional 3 Class	19766P744	CLRYX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity Fund Institutional Class	19765H230	NFEPX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Class A	19765H339	NEIAX			.25	0.45000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Institutional 3 Class	19766P736	CLPYX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Institutional Class	19765H321	NINDX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Advisor Class	19763P333	RDERX			.00	0.74000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Class A	19763P390	INDZX	5.75		.25	0.99000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Large Cap Value Fund Class C	19763P374	ADECX			1.00	1.00	1.74000	SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Institutional 3 Class	19766C586	CDEYX				.00	0.67000	SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Institutional Class	19763P291	CDVZX				.00	0.74000	SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Advisor Class	19766C297	CDLRX				.00	0.58000	SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Class A	19763T459	ALDAX	3.00			.25	0.83000	BUY,SELL
Columbia Threadneedle	Columbia Limited Duration Credit Fund Class C	19763T434	RDCLX			1.00	1.00	1.58000	SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Institutional 3 Class	19766C271	CLDYX				.00	0.48000	SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Institutional Class	19763T384	CLDZX				.00	0.58000	SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Municipal Bond Fund Advisor Class	19766M410	CMANX				.00	0.68000	SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Municipal Bond Fund Institutional 3 Class	19767A548	CMMYX				.00	0.57000	SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Municipal Bond Fund Institutional Class	19765N872	SEMAX				.00	0.68000	SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Class A	19765J509	NTIAX				.25	0.59000	SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Institutional 3 Class	19766P728	CMDYX				.00	0.23000	SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Institutional Class	19765J608	NMPAX				.00	0.34000	SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Advisor Class	19766N855	CLONX				.00	0.55000	SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Class C	19763T160	RMTCX			1.00	1.00	1.55000	SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Institutional 3 Class	19766J391	CMNYX				.00	0.50000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Institutional Class	19763T152	CMNZX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Advisor Class	19764F771	CLMFX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Class A	19764F813	CLMAX	3.00		.25	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Class C	19764F797	CLMCX		1.00	1.00	1.78000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Institutional 3 Class	19766J383	CMOYX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Institutional Class	19764F755	CLMZX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund Class A	19766M311	CLAAX	5.75		.25	1.44000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund Class Adv	19766M261	CLFUX			.00	1.19000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund Class C	19766M295	CLABX		1.00	1.00	2.19000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund Class Inst	19766M220	CLAZX			.00	1.19000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipal Bond Fund Advisor Class	19766M394	CNYIX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipal Bond Fund Institutional 3 Class	19767A530	CNYYX			.00	0.56000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipal Bond Fund Institutional Class	19765N740	GNYTX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal Bond Fund Advisor Class	19766M378	CORMX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal Bond Fund Institutional 3 Class	19767A522	CORYX			.00	0.52000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal Bond Fund Institutional Class	19765P372	CMBFX			.00	0.59000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Overseas Core Fund Advisor Class	19766J292	COSDX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Class A	19766J318	COSAX	5.75		.25	1.31000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Institutional 3 Class	19766J250	COSOX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Institutional Class	19766J276	COSNX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Advisor Class	19766P884	COSVX			.00	0.97000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Class A	19765M338	COAVX	5.75		.25	1.22000		BUY,SELL
Columbia Threadneedle	Columbia Overseas Value Fund Class C	19765M320	COCVX		1.00	1.00	1.97000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Institutional 3 Class	19766P868	COSYX			.00	0.84000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Institutional Class	19765M296	COSZX			.00	0.97000		SELL ONLY
Columbia Threadneedle	Columbia Pyrford International Stock Fund Advisor Class	19766Q783	PISKX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Pyrford International Stock Fund Class A	19766Q825	PISDX	5.75		.25	1.27000		SELL ONLY
Columbia Threadneedle	Columbia Pyrford International Stock Fund Institutional 3 Class	19766Q759	PISQX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Pyrford International Stock Fund Institutional Class	19766Q775	PISLX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Advisor Class	19766C347	CUVRX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Class A	19766J102	AUGAX	3.00		.25	0.95000		BUY,SELL
Columbia Threadneedle	Columbia Quality Income Fund Class C	19766J300	AUGCX		1.00	1.00	1.70000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Institutional 3 Class	19766J581	CUGYX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Institutional Class	19766J607	CUGZX			.00	0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Real Estate Equity Fund Advisor Class	19766M683	CRERX			.00	1.04000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Class A	19765P588	CREAX	5.75		.25	1.29000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Institutional 3 Class	19767A423	CREYX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Institutional Class	19765P547	CREEX			.00	1.04000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Advisor Class	19766N772	CSGVX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Class A	19763T780	IGLGX	5.75		.25	1.27000		BUY,SELL
Columbia Threadneedle	Columbia Select Global Equity Fund Class C	19763T764	RGCEX		1.00	1.00	2.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Institutional 3 Class	19766J375	CSEYX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Institutional Class	19763T194	CGEZX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund Advisor Class	19766P660	CLSRX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund Class A	19765H313	NSGAX	5.75		.25	1.12000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Equity Fund Class C	19765H289	NSGCX		1.00	1.00	1.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund Institutional 3 Class	19766P694	CLEYX			.00	0.76000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund Institutional Class	19765H271	NSEPX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund Advisor Class	19766M675	CSRRX			.00	0.94000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund Class A	19765Y712	ELGAX	5.75		.25	1.19000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Growth Fund Institutional 3 Class	19766M659	CCWRX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund Institutional Class	19765Y688	UMLGX			.00	0.94000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Select Large Cap Value Fund Advisor Class	19766C396	CSERX			.00	0.83000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund Class A	19766H619	SLVAX	5.75		.25	1.08000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Value Fund Class C	19766H585	SVLCX		1.00	1.00	1.83000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund Institutional 3 Class	19766J615	CSRYX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund Institutional Class	19766H528	CSVZX			.00	0.83000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Growth Fund Advisor Class	19766M725	CPGRX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Growth Fund Class A	19765P299	CBSAX	5.75		.25	1.17000		BUY,SELL
Columbia Threadneedle	Columbia Select Mid Cap Growth Fund Class C	19765P273	CMCCX		1.00	1.00	1.92000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Growth Fund Institutional 3 Class	19765Y357	CMGYX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Growth Fund Institutional Class	19765P232	CLSPX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Advisor Class	19766B356	CFDRX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Class A	19765J863	CMUAX	5.75		.25	1.15000		BUY,SELL
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Class C	19765J848	CMUCX		1.00	1.00	1.90000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Institutional 3 Class	19765M239	CMVYX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Institutional Class	19765J830	NAMAX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund Advisor Class	19766C388	CSPRX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund Class A	19766H510	SSCVX	5.75		.25	1.31000		BUY,SELL
Columbia Threadneedle	Columbia Select Small Cap Value Fund Institutional 3 Class	19766J599	CSSYX			.00	0.94000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Select Small Cap Value Fund Institutional Class	19766H437	CSSZX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fund Advisor Class	19766C370	CCHRX			.00	1.05000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fund Class A	19766H320	SHGTX	5.75		.25	1.30000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fund Class C	19766H296	SHTCX		1.00	1.00	2.05000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fund Institutional 3 Class	19766J359	CGTYX			.00	0.95000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fund Institutional Class	19766H247	CSGZX			.00	1.05000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Information Fund Advisor Class	19766H361	SCIOX			.00	0.95000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Information Fund Class A	19766H429	SLMCX	5.75		.25	1.20000		BUY,SELL
Columbia Threadneedle	Columbia Seligman Technology and Information Fund Class C	19766H395	SCICX		1.00	1.00	1.95000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Information Fund Institutional 3 Class	19766J367	CCOYX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Information Fund Institutional Class	19766H338	CCIZX			.00	0.95000		SELL ONLY
Columbia Threadneedle	Columbia Short Duration Municipal Bond Fund Advisor Class	19766B182	CSMTX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Short Duration Municipal Bond Fund Institutional 3 Class	19766P686	CSMYX			.00	0.50000		SELL ONLY
Columbia Threadneedle	Columbia Short Duration Municipal Bond Fund Institutional Class	19765J327	NSMIX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Advisor Class	19766B315	CMDRX			.00	0.61000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Class A	19765H396	NSTRX	1.00		.25	0.86000		BUY,SELL
Columbia Threadneedle	Columbia Short Term Bond Fund Class C	19765H370	NSTIX		1.00	.80	1.41000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Institutional 3 Class	19765M213	CSBYX			.00	0.49000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Short Term Bond Fund Institutional Class	19765H362	NSTMX			.00	0.61000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Advisor Class	19766M618	CHHRX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Class A	19765P638	CGOAX	5.75		.25	1.28000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Growth Fund Class C	19765P612	CGOCX		1.00	1.00	2.03000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Institutional 3 Class	19765Y340	CSGYX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Institutional Class	19765P596	CMSCX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Class A	19765J822	NMSAX			.25	0.45000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Institutional 3 Class	19766P678	CSPYX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Institutional Class	19765J814	NMSCX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Advisor Class	19766M592	CVVRX			.00	1.00000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Class A	19765N591	CSMIX	5.75		.25	1.25000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Value Fund I Class C	19765N575	CSSCX		1.00	1.00	2.00000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Institutional 3 Class	19765Y332	CSVYX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Institutional Class	19765N567	CSCZX			.00	1.00000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Advisor Class	19766B265	CLURX			.00	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Class A	19765J798	COVAX	5.75		.25	1.36000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Value Fund II Institutional 3 Class	19766B240	CRRYX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Institutional Class	19765J764	NSVAX			.00	1.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Strategic California Municipal Income Fund Advisor Class	19766M485	CCARX			.00	0.57000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Income Fund Class C	19765L306	CCAOX		1.00	.70	1.27000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Income Fund Institutional 3 Class	19767A514	CCXYX			.00	0.52000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Income Fund Institutional Class	19765L611	CCAZX			.00	0.57000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Advisor Class	19766M576	CMNRX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Class A	19765L801	COSIX	4.75		.25	0.94000		BUY,SELL
Columbia Threadneedle	Columbia Strategic Income Fund Class C	19765L876	CLSCX		1.00	1.00	1.69000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Institutional 3 Class	19766M345	CPHUX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Institutional Class	19765L694	LSIZX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund Advisor Class	19766N202	CATRX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund Class C	19763P598	RTCEX		1.00	1.00	1.55000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund Institutional 3 Class	19766J342	CATYX			.00	0.50000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund Institutional Class	19763P580	CATZX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal Income Fund Advisor Class	19766M386	CNYEX			.00	0.64000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal Income Fund Class C	19765L173	CNYCX		1.00	.70	1.34000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal Income Fund Institutional 3 Class	19767A449	CNTYX			.00	0.57000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal Income Fund Institutional Class	19766D295	CNYZX			.00	0.64000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Tax-Exempt Fund Advisor Class	19766M352	CTERX			.00	0.54000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Class C	19765L835	COLCX		1.00	.80	1.34000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Institutional 3 Class	19767A415	CTEYX			.00	0.48000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Institutional Class	19765L629	CTEZX			.00	0.54000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Advisor Class	197199342	CTORX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Class A	197199755	CTFAX	5.75		.25	0.93000		BUY,SELL
Columbia Threadneedle	Columbia Thermostat Fund Class C	197199730	CTFDX		1.00	1.00	1.68000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Institutional 3 Class	197199326	CYYYX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Institutional Class	197199722	COTZX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Advisor Class	19766M774	CBNRX			.00	0.64000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Class A	19765N492	LIBAX	3.00		.25	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Class C	19765N476	LIBCX		1.00	1.00	1.64000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Institutional 3 Class	19766M758	CTBYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Institutional Class	19765N468	SRBFX			.00	0.64000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Class A	19765N641	LUTAX			.15	0.55000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Institutional 3 Class	19767A381	CUTYX			.00	0.40000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Institutional Class	19765N617	IUTIX			.00	0.40000		SELL ONLY
Columbia Threadneedle	Columbia Ultra Short Duration Municipal Bond Fund Advisor Class	19766J227	USMDX			.00	0.34000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Columbia Threadneedle	Columbia Ultra Short Duration Municipal Bond Fund Class A	19766J235	USMBX			.15	0.49000		SELL ONLY
Columbia Threadneedle	Columbia Ultra Short Duration Municipal Bond Fund Institutional Class	19766J219	USMEX			.00	0.34000		SELL ONLY
Congress	Congress Large Cap Growth Fund Institutional Class	74316J789	CMLIX				0.69000		SELL ONLY
Congress	Congress Large Cap Growth Fund Retail Class	742935216	CAMLX			.25	0.94000		SELL ONLY
Congress	Congress Mid Cap Growth Fund Institutional Class	74316J458	IMIDX				0.79000		SELL ONLY
Congress	Congress Mid Cap Growth Fund Retail Class	74316J466	CMIDX			.25	1.04000		SELL ONLY
Congress	Congress Small Cap Growth Fund Institutional Class	74316P710	CSMCX				1.08000		SELL ONLY
Congress	Congress Small Cap Growth Fund Retail Class	74316P728	CSMVX			.25	1.33000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Commodity Return Strategy Fund Class A	22544R107	CRSAX	4.75		.25	1.06000		BUY,SELL
Credit Suisse (New York, NY)	Credit Suisse Commodity Return Strategy Fund Class C	22544R206	CRSCX		1.00	1.00	1.81000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Commodity Return Strategy Fund Class I	22544R305	CRSOX				0.81000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Floating Rate High Income Fund A	22540S877	CHIAX	4.75		.25	1.06000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Floating Rate High Income Fund C	22540S851	CHICX		1.00	1.00	1.81000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Floating Rate High Income Fund Institutional Class	22540S836	CSHIX				0.81000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Managed Futures Strategy Fund Class A	22540S737	CSAAX	5.25		.25	1.60000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Managed Futures Strategy Fund Class C	22540S729	CSACX		1.00	1.00	2.35000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Managed Futures Strategy Fund Class I	22540S711	CSAIX				1.35000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Multialternative Strategy Fund Class A Shares	22540S794	CSQAX	5.25		.25	1.53000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Credit Suisse (New York, NY)	Credit Suisse Multialternative Strategy Fund Class I Shares	22540S778	CSQIX				1.28000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Strategic Income Fund Class A Shares	22540S760	CSOAX	4.75		.25	1.35000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Strategic Income Fund Class C Shares	22540S752	CSOCX		1.00	1.00	2.10000		SELL ONLY
Credit Suisse (New York, NY)	Credit Suisse Strategic Income Fund Class I Shares	22540S745	CSOIX				1.10000		SELL ONLY
Cromwell Funds	Cromwell CenterSquare Real Estate Fund Institutional Class	89156Y506	MRASX				1.03000		SELL ONLY
Cromwell Funds	Cromwell CenterSquare Real Estate Fund Investor Class	89156Y407	MRESX				1.12000		SELL ONLY
Cromwell Funds	Cromwell Foresight Global Sustainable Infrastructure Fund Institutional Class	89156Y878	CFGIX				1.39000		SELL ONLY
Cromwell Funds	Cromwell Greenspring Mid Cap Fund Institutional Class	89156Y852	GRSPX				1.16000		SELL ONLY
Cromwell Funds	Cromwell Long Short Fund Class Institutional	89156Y308	MFLDX				1.95000		SELL ONLY
Cromwell Funds	Cromwell Long Short Fund Class Investor	89156Y100	MFADX			.25	2.20000		SELL ONLY
Cromwell Funds	Cromwell Tran Sustainable Focus Instl	89156Y704	LIMIX				1.62000		SELL ONLY
Cromwell Funds	Cromwell Tran Sustainable Focus Investor	89156Y803	LIMAX			.25	1.87000		SELL ONLY
Crossmark Steward Funds	Crossmark Steward Global Equity Income Fund Class A	860324862	SGIDX	5.75		.25	1.27000		BUY,SELL
Crossmark Steward Funds	Crossmark Steward Global Equity Income Fund Class C	860324664	SGIFX		1.00	1.00	2.03000		SELL ONLY
Crossmark Steward Funds	Crossmark Steward Global Equity Income Fund Class Institutional	860324854	SGISX				1.01000		SELL ONLY
Crossmark Steward Funds	Crossmark Steward Global Equity Income Fund Class R6	860324656	SGIGX				0.90000		SELL ONLY
Crossmark Steward Funds	Steward Covered Call Income Fund Class A	860324714	SCJAX	5.75		.25	1.42000		SELL ONLY
Crossmark Steward Funds	Steward Covered Call Income Fund Class C	860324698	SCJCX		1.00	1.00	2.24000		SELL ONLY
Crossmark Steward Funds	Steward Covered Call Income Fund Institutional Class	860324672	SCJIX				1.25000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Crossmark Steward Funds	Steward Equity Market Neutral Fund Class A	860324458	SMNAX	5.75		.25	2.58000		SELL ONLY
Crossmark Steward Funds	Steward Equity Market Neutral Fund Institutional Class	860324433	SMNIX				2.33000		SELL ONLY
Crossmark Steward Funds	Steward International Enhanced Index Fund Class A	860324805	SNTKX	5.75		.25	1.04000		BUY,SELL
Crossmark Steward Funds	Steward International Enhanced Index Fund Class Institutional	860324706	SNTCX				0.78000		SELL ONLY
Crossmark Steward Funds	Steward International Enhanced Index Fund Class R6	860324631	SNTFX				0.66000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Core Fund Class A	860324425	SJCAX	5.75		.25	1.22000		BUY,SELL
Crossmark Steward Funds	Steward Large Cap Core Fund Class R6	860324490	SJCRX				0.85000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Core Fund Institutional Class	860324391	SJCIX				0.96000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Growth Fund Class A	860324383	SJGAX	5.75		.25	1.22000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Growth Fund Institutional Class	860324367	SJGIX				0.95000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Value Fund Class A	860324359	SJVAX	5.75		.25	1.26000		SELL ONLY
Crossmark Steward Funds	Steward Large Cap Value Fund Institutional Class	860324334	SJVIX				0.99000		SELL ONLY
Crossmark Steward Funds	Steward Select Bond Fund Class A	860324409	SEAKX	3.75		.25	0.96000		SELL ONLY
Crossmark Steward Funds	Steward Select Bond Fund Class Institutional	860324300	SEACX				0.74000		SELL ONLY
Crossmark Steward Funds	Steward Values-Focused Large Cap Enhanced Index Fund A	860324201	SEEKX	5.75		.25	0.86000		BUY,SELL
Crossmark Steward Funds	Steward Values-Focused Large Cap Enhanced Index Fund Instl	860324102	SEECX				0.61000		SELL ONLY
Crossmark Steward Funds	Steward Values-Focused Large Cap Enhanced Index Fund R6	860324615	SEEHX				0.51000		SELL ONLY
Crossmark Steward Funds	Steward Values-Focused Small-Mid Cap Enhanced Index Fund A	860324839	TRDFX	5.75		.25	0.83000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Crossmark Steward Funds	Steward Values-Focused Small-Mid Cap Enhanced Index Fund Instl	860324847	SCECX				0.64000		SELL ONLY
Crossmark Steward Funds	Steward Values-Focused Small-Mid Cap Enhanced Index Fund R6	860324565	SSMOX				0.53000		SELL ONLY
Davis Funds	Davis Balanced Fund Class A	239103880	RPFCX	4.75		.24	1.00000		BUY,SELL
Davis Funds	Davis Balanced Fund Class C	239103732	DCSCX		1.00	1.00	1.99000		SELL ONLY
Davis Funds	Davis Balanced Fund Class Y	239103773	DCSYX			.00	0.68000		SELL ONLY
Davis Funds	Davis Financial Fund Class A	239103500	RPFGX	4.75		.24	0.95000		SELL ONLY
Davis Funds	Davis Financial Fund Class C	239103740	DFFCX		1.00	1.00	1.74000		SELL ONLY
Davis Funds	Davis Financial Fund Class Y	239103815	DVFX			.00	0.73000		SELL ONLY
Davis Funds	Davis Global Fund Class A	239080872	DGFAX	4.75		.22	0.95000		BUY,SELL
Davis Funds	Davis Global Fund Class C	239080856	DGFCX		1.00	1.00	1.75000		SELL ONLY
Davis Funds	Davis Global Fund Class Y	239080849	DGFYX			.00	0.71000		SELL ONLY
Davis Funds	Davis Government Bond Fund Class A	239103708	RFBAX	4.75		.22	1.41000		SELL ONLY
Davis Funds	Davis Government Bond Fund Class C	239103757	DGVCX		1.00	1.00	2.81000		SELL ONLY
Davis Funds	Davis Government Bond Fund Class Y	239103799	DGVYX			.00	1.21000		SELL ONLY
Davis Funds	Davis International Fund Class A	239080831	DILAX	4.75		.20	1.09000		SELL ONLY
Davis Funds	Davis International Fund Class C	239080815	DILCX		1.00	1.00	1.89000		SELL ONLY
Davis Funds	Davis International Fund Class Y	239080799	DILYX			.00	0.74000		SELL ONLY
Davis Funds	Davis New York Venture Fund Class A	239080104	NYVTX	4.75		.23	0.92000		BUY,SELL
Davis Funds	Davis New York Venture Fund Class B	239080203	NYVBX		4.00	1.00	2.27000		SELL ONLY
Davis Funds	Davis New York Venture Fund Class C	239080302	NYVCX		1.00	1.00	1.75000		SELL ONLY
Davis Funds	Davis New York Venture Fund Class Y	239080401	DNVYX			.00	0.67000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class A	239103104	RPEAX	4.75		.22	0.93000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class C	239103765	DGOCX		1.00	1.00	1.76000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class Y	239103831	DGOYX			.00	0.68000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class A	239103302	RPFRX	4.75		.19	1.00000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class C	239103724	DRECX		1.00	1.00	2.00000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class Y	239103823	DREYX			.00	0.75000		SELL ONLY
Dearborn Partners	Dearborn Partners Rising Dividend Fund Class A Shares	89834E682	DRDAX	5.00		.25	1.28000		SELL ONLY
Dearborn Partners	Dearborn Partners Rising Dividend Fund Class C Shares	89834E674	DRDCX		1.00	1.00	2.03000		SELL ONLY
Dearborn Partners	Dearborn Partners Rising Dividend Fund Class I Shares	89834E666	DRDIX				1.03000		SELL ONLY
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class A	466000395	IEYAX	5.75		.25	1.43000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class C	466000379	IEYCX			1.00	1.00	2.18000	SELL ONLY
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class I	466000148	IVEIX					1.18000	SELL ONLY
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class R	465899367	IYEFX				.50	1.68000	SELL ONLY
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class R6	46600A807	IENRX					1.07000	SELL ONLY
Delaware Funds by Macquarie	Delaware Climate Solutions Fund Class Y	466000361	IEYYX				.25	1.43000	SELL ONLY
Delaware Funds by Macquarie	Delaware Corporate Bond Fund Class A	245908785	DGCAX	4.50			.25	0.92000	SELL ONLY
Delaware Funds by Macquarie	Delaware Corporate Bond Fund Class C	245908769	DGCCX			1.00	1.00	1.67000	SELL ONLY
Delaware Funds by Macquarie	Delaware Corporate Bond Fund Class R	245908744	DGCRX				.50	1.17000	SELL ONLY
Delaware Funds by Macquarie	Delaware Corporate Bond Fund Class R6	24610J100	DGCZX					0.58000	SELL ONLY
Delaware Funds by Macquarie	Delaware Corporate Bond Fund Institutional Class	245908751	DGCIX					0.67000	SELL ONLY
Delaware Funds by Macquarie	Delaware Diversified Income Fund Class A	246248744	DPDFX	4.50			.25	0.87000	BUY,SELL
Delaware Funds by Macquarie	Delaware Diversified Income Fund Class C	246248595	DPCFX			1.00	1.00	1.62000	SELL ONLY
Delaware Funds by Macquarie	Delaware Diversified Income Fund Class R	246248553	DPRFX				.50	1.12000	SELL ONLY
Delaware Funds by Macquarie	Delaware Diversified Income Fund Class R6	245917612	DPZRX					0.53000	SELL ONLY
Delaware Funds by Macquarie	Delaware Diversified Income Fund Institutional Class	246248587	DPFFX					0.62000	SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Debt Corporate Fund Class A	246094841	DEDAX	4.50			.25	1.44000	SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Debt Corporate Fund Class C	246094833	DEDCX			1.00	1.00	2.19000	SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Debt Corporate Fund Class Institutional	246094817	DEDIX					1.19000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Emerging Markets Fund Class A	245914841	DEMAX	5.75		.25	1.59000		BUY,SELL
Delaware Funds by Macquarie	Delaware Emerging Markets Fund Class C	245914825	DEMCX		1.00	1.00	2.34000		SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Fund Class R	245914569	DEMRX			.50	1.84000		SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Fund Class R6	245914510	DEMZX				1.22000		SELL ONLY
Delaware Funds by Macquarie	Delaware Emerging Markets Fund Institutional Class	245914817	DEMIX				1.34000		SELL ONLY
Delaware Funds by Macquarie	Delaware Extended Duration Bond Fund Class A	245908835	DEEAX	4.50		.25	1.05000		SELL ONLY
Delaware Funds by Macquarie	Delaware Extended Duration Bond Fund Class C	245908819	DEECX		1.00	1.00	1.80000		SELL ONLY
Delaware Funds by Macquarie	Delaware Extended Duration Bond Fund Class Institutional	245908793	DEEIX				0.80000		SELL ONLY
Delaware Funds by Macquarie	Delaware Extended Duration Bond Fund Class R	245908728	DEERX			.50	1.30000		SELL ONLY
Delaware Funds by Macquarie	Delaware Extended Duration Bond Fund Class R6	245908629	DEZRX				0.67000		SELL ONLY
Delaware Funds by Macquarie	Delaware Floating Rate Fund Class A	245908660	DDFAX	2.75		.25	0.97000		SELL ONLY
Delaware Funds by Macquarie	Delaware Floating Rate Fund Class C	245908652	DDFCX		1.00	1.00	1.72000		SELL ONLY
Delaware Funds by Macquarie	Delaware Floating Rate Fund Class R	245908645	DDFFX			.50	1.22000		SELL ONLY
Delaware Funds by Macquarie	Delaware Floating Rate Fund Class R6	245908595	DDFZX				0.65000		SELL ONLY
Delaware Funds by Macquarie	Delaware Floating Rate Fund Institutional Class	245908637	DDFLX				0.72000		SELL ONLY
Delaware Funds by Macquarie	Delaware Global Listed Real Assets Fund A Class	246248868	DPREX	5.75		.25	1.41000		SELL ONLY
Delaware Funds by Macquarie	Delaware Global Listed Real Assets Fund C Class	246248793	DPRCX		1.00	1.00	2.16000		SELL ONLY
Delaware Funds by Macquarie	Delaware Global Listed Real Assets Fund Institutional Class	246248777	DPRSX				1.16000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Global Listed Real Assets Fund R Class	246248561	DPRRX			.50	1.66000		SELL ONLY
Delaware Funds by Macquarie	Delaware Global Listed Real Assets Fund R6 Class	246248454	DPRDX				1.07000		SELL ONLY
Delaware Funds by Macquarie	Delaware Growth and Income Fund Class A	24611D870	FGINX	5.75		.25	1.02000		SELL ONLY
Delaware Funds by Macquarie	Delaware Growth and Income Fund Class R6	24611D854	FGIQX				0.71000		SELL ONLY
Delaware Funds by Macquarie	Delaware Growth and Income Fund Institutional Class	24611D862	FGIPX				0.77000		SELL ONLY
Delaware Funds by Macquarie	Delaware Healthcare Fund Class A	24610E101	DLHAX	5.75		.25	1.25000		BUY,SELL
Delaware Funds by Macquarie	Delaware Healthcare Fund Class C	24610E200	DLHCX		1.00	1.00	2.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Healthcare Fund Class I	24610E408	DLHIX				1.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Healthcare Fund Class R	24610E309	DLRHX			.50	1.50000		SELL ONLY
Delaware Funds by Macquarie	Delaware Investments Ultrashort Fund Institutional Class	245910500	DULTX				0.66000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class A	466000759	WASAX	5.75		.25	1.06000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class C	466000734	WASCX		1.00	1.00	1.81000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class I	466001864	IVAEX				0.81000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class R	466000114	IASRX			.50	1.31000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class R6	46600A104	IASTX				0.78000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Asset Strategy Fund Class Y	466000726	WASYX			.25	1.06000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class A	465898625	IBNAX	5.75		.25	1.08000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class C	465898591	IBNCX		1.00	1.00	1.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class I	465898146	IYBIX				0.83000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class R	465899375	IYBFX			.50	1.33000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class R6	46600A203	IBARX				0.78000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Balanced Fund Class Y	465898583	IBNYX			.25	1.08000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class A	466000106	WCEAX	5.75		.25	1.00000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class C	466000304	WTRCX		1.00	1.00	1.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class I	466000163	ICIEY				0.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class R	465899573	IYCEX			.50	1.25000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class R6	46600A401	ICEQX				0.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Core Equity Fund Class Y	466000403	WCEYX			.25	1.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class A	465899748	IVSAX	4.50		.25	1.24000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class C	465899722	IVSCX		1.00	1.00	2.06000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class I	465899714	IVSIX				0.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class R	465899516	IYGOX			.50	1.31000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class R6	46600A872	IVBDX				0.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Bond Fund Class Y	465899698	IVSYX			.25	0.99000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class A	465897502	IVINX	5.75		.24	1.19000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class C	465897585	IVNCX		1.00	1.00	1.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class I	465898724	IGIIX				0.95000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class R	465899425	IYIGX			.50	1.45000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class R6	46600A815	ITGRX				0.92000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Global Growth Fund Class Y	465897114	IVIYX			.25	1.20000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class A	466000668	WHIAX	4.50		.25	1.02000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class C	466000643	WRHIX		1.00	1.00	1.81000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class I	466000122	IVHIX				0.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class R	465899490	IYHIX			.50	1.28000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class R6	46600A831	IHIFX				0.64000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy High Income Fund Class Y	466000635	WHIYX			.25	1.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class A	465897353	IVIAX	5.75		.25	1.26000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class C	465897338	IVIFX		1.00	1.00	2.01000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class I	465899706	ICEIX				1.01000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class R	465899433	IYITX			.50	1.51000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class R6	46600A823	IINCX				0.93000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy International Core Equity Fund Class Y	465898682	IVVYX			.25	1.26000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class A	466000627	WLGAX	5.75		.25	0.99000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class C	466000593	WLGCX			1.00	1.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class I	466001203	IYGIX				0.74000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class R	466000429	WLGRX			.50	1.24000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class R6	46600A799	ILGRX				0.65000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Large Cap Growth Fund Class Y	466000585	WLGX			.25	0.99000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class A	466000577	WMGA X	5.75		.25	1.23000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class C	466000551	WMGC X		1.00	1.00	1.98000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class I	466001609	IYMIX				0.98000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class R	466000411	WMGR X			.50	1.48000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class R6	46600A765	IGRFX				0.86000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Growth Fund Class Y	466000544	WMGY X			.25	1.23000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class A	46600B102	IVOAX	5.75		.25	1.25000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class C	46600B201	IVOCX		1.00	1.00	2.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class I	46600B409	IVOIX				1.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class R	46600B508	IVORX			.50	1.50000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class R6	46600B607	IVOSX				0.93000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Mid Cap Income Opportunities Fund Class Y	46600B706	IVOYX			.25	1.25000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Multi-Asset Income Fund Class A	46600B847	IMAA X	5.75		.25	1.31000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Multi-Asset Income Fund Class C	46600B839	IMACX		1.00	1.00	2.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Multi-Asset Income Fund Class I	46600B821	IMAIX				0.98000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Multi-Asset Income Fund Class R6	46600B813	IMURX				0.96000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Multi-Asset Income Fund Class Y	46600B797	IMAYX			.25	1.27000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class A	465897429	IGNAX	5.75		.25	1.68000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class C	465897395	IGNCX		1.00	1.00	2.45000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class I	465899508	IGNIX				1.17000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class R	465898310	IGNRX			.50	1.69000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class R6	46600A849	INRSX				1.10000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Natural Resources Fund Class Y	465897122	IGNYX			.25	1.41000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class A	466000718	WSTAX	5.75		.25	1.16000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class C	466000684	WSTCX		1.00	1.00	1.91000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class I	466001807	ISTIX				0.91000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class R	466000437	WSTRX			.50	1.41000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class R6	46600A740	ISTNX				0.84000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Science and Technology Fund Class Y	466000676	WSTYX			.25	1.16000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class A	466000502	WSGA X	5.75		.25	1.25000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class C	466000700	WRGC X		1.00	1.00	2.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class Institutional	466001872	IYSIX				1.00000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class R	466000445	WSGRX			.50	1.50000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class R6	46600A732	IRGFX				0.91000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Small Cap Growth Fund Class Y	466000809	WSCYX			.25	1.25000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class A	465898435	IYSAX	5.75		.25	1.27000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class C	465898419	IYSCX		1.00	1.00	2.02000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class I	465899813	IVVIX				1.02000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class R	465899540	IYSMX			.50	1.52000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class R6	46600A724	ISPVX				0.99000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Smid Cap Core Fund Class Y	465898393	IYSYX			.25	1.27000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class A	465897866	IPOAX	5.75		.25	1.51000		BUY,SELL
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class C	465897643	IPOCX		1.00	1.00	2.26000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class I	465899854	IPOIX				1.26000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class R	465899383	IYPCX			.50	1.76000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class R6	46600A708	IMEGX				1.11000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Systematic Emerging Markets Equity Fund Class Y	465898674	IPOYX			.25	1.51000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Wilshire Global Allocation Class A	46600G788	IWGAX	5.75		.25	1.23000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Wilshire Global Allocation Class C	46600G762	IWG CX		1.00	1.00	2.04000		SELL ONLY
Delaware Funds by Macquarie	Delaware Ivy Wilshire Global Allocation Class I	46600G747	IWGIX				0.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Ivy Wilshire Global Allocation Class R6	46600G739	IWGNX				0.91000		SELL ONLY
Delaware Funds by Macquarie	Delaware Limited-Term Diversified Income Fund Class A	245912308	DTRIX	2.75		.25	0.95000		BUY,SELL
Delaware Funds by Macquarie	Delaware Limited-Term Diversified Income Fund Class C	245912704	DTICX		1.00	1.00	1.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Limited-Term Diversified Income Fund Class R	245912803	DLTRX			.50	1.20000		SELL ONLY
Delaware Funds by Macquarie	Delaware Limited-Term Diversified Income Fund Class R6	245912886	DLTZX				0.65000		SELL ONLY
Delaware Funds by Macquarie	Delaware Limited-Term Diversified Income Fund Institutional Class	245912506	DTINX				0.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Minnesota High-Yield Municipal Bond Fund Class C	928928282	DVMMX		1.00	1.00	1.74000		SELL ONLY
Delaware Funds by Macquarie	Delaware Minnesota High-Yield Municipal Bond Fund Institutional Class	928928175	DMHIX				0.74000		SELL ONLY
Delaware Funds by Macquarie	Delaware National High Yield Municipal Bond Fund Class C	928928225	DVHCX		1.00	1.00	1.63000		SELL ONLY
Delaware Funds by Macquarie	Delaware National High Yield Municipal Bond Fund Institutional Class	24610H302	DVHIX				0.63000		SELL ONLY
Delaware Funds by Macquarie	Delaware Opportunity Fund Class A	24611D771	FIUSX	5.75		.25	1.18000		SELL ONLY
Delaware Funds by Macquarie	Delaware Opportunity Fund Class C	24611D383	FIVCX		1.00	1.00	1.89000		SELL ONLY
Delaware Funds by Macquarie	Delaware Opportunity Fund Class R	24611D375	FIZRX			.50	1.39000		SELL ONLY
Delaware Funds by Macquarie	Delaware Opportunity Fund Class R6	24611D755	FIVVX				0.83000		SELL ONLY
Delaware Funds by Macquarie	Delaware Opportunity Fund Institutional Class	24611D763	FIVUX				0.90000		SELL ONLY
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class A	465898476	IRSAX	5.75		.25	1.57000		BUY,SELL
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class C	465898450	IRSCX		1.00	1.00	2.76000		SELL ONLY
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class I	465899839	IREIX				1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class R	465898294	IRSRX			.50	1.65000		SELL ONLY
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class R6	46600A757	IRSEX				1.06000		SELL ONLY
Delaware Funds by Macquarie	Delaware Real Estate Securities Fund Class Y	465898443	IRSYX			.25	1.29000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Core Fund Class A	24610B883	DCCAX	5.75		.25	1.09000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Core Fund Class C	24610B867	DCCCX		1.00	1.00	1.84000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Core Fund Class R	24610B834	DCCRX			.50	1.34000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Core Fund Class R6	24610B826	DCZRX				0.72000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Core Fund Institutional Class	24610B859	DCCIX				0.84000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Value Fund Class A	246097109	DEVLX	5.75		.25	1.11000		BUY,SELL
Delaware Funds by Macquarie	Delaware Small Cap Value Fund Class C	246097406	DEVCX		1.00	1.00	1.86000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Value Fund Class R	246097505	DVLRX			.50	1.36000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Value Fund Class R6	24610B818	DVZRX				0.71000		SELL ONLY
Delaware Funds by Macquarie	Delaware Small Cap Value Fund Institutional Class	246097208	DEVIX				0.86000		SELL ONLY
Delaware Funds by Macquarie	Delaware Strategic Income Fund Class A	246094205	DEGGX	4.50		.25	1.20000		BUY,SELL
Delaware Funds by Macquarie	Delaware Strategic Income Fund Class C	246094700	DUGCX		1.00	1.00	1.95000		SELL ONLY
Delaware Funds by Macquarie	Delaware Strategic Income Fund Class R	246094809	DUGRX			.50	1.45000		SELL ONLY
Delaware Funds by Macquarie	Delaware Strategic Income Fund Institutional Class	246094502	DUGIX				0.95000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax Free Minnesota Fund Institutional Class	928918705	DMNIX				0.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Tax-Free Arizona Fund Class C	928916501	DVACX		1.00	1.00	1.85000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Arizona Fund Institutional Class	928916873	DAZIX				0.85000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free California Fund Class A	928928829	DVTAX	4.50		.25	1.00000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free California Fund Class C	928928795	DVFTX		1.00	1.00	1.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free California Fund Institutional Class	928928167	DCTIX				0.75000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Colorado Fund Class C	92907R101	DVCTX		1.00	1.00	1.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Colorado Fund Institutional Class	92907R200	DCOIX				0.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Idaho Fund Class C	928928803	DVICX		1.00	1.00	1.78000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Idaho Fund Institutional Class	928928159	DTIDX				0.78000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Minnesota Fund Class C	928918408	DMOCX		1.00	1.00	1.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free New York Fund Class A	928928274	FTNYX	4.50		.25	0.98000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free New York Fund Class C	928928258	DVFNX		1.00	1.00	1.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free New York Fund Institutional Class	928928142	DTNIX				0.73000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Oregon Fund Class A	245912712	FTORX	4.50		.25	1.19000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Oregon Fund Institutional Class	245912696	FTOTX				0.94000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Pennsylvania Fund Class C	233216308	DPTCX		1.00	1.00	1.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free Pennsylvania Fund Institutional Class	24609H701	DTPIX				0.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free USA Fund Class C	245909700	DUSCX		1.00	1.00	1.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Delaware Funds by Macquarie	Delaware Tax-Free USA Fund Institutional Class	24610H104	DTFIX				0.70000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free USA Intermediate Fund Class A	245909304	DMUSX	2.75		.25	0.88000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free USA Intermediate Fund Class C	245909882	DUICX		1.00	1.00	1.63000		SELL ONLY
Delaware Funds by Macquarie	Delaware Tax-Free USA Intermediate Fund Institutional Class	24610H203	DUSIX				0.63000		SELL ONLY
Delaware Funds by Macquarie	Delaware Value? Fund Class A	24610C881	DDVAX	5.75		.25	0.94000		BUY,SELL
Delaware Funds by Macquarie	Delaware Value? Fund Class C	24610C865	DDVCX		1.00	1.00	1.69000		SELL ONLY
Delaware Funds by Macquarie	Delaware Value? Fund Class R	245907860	DDVRX			.50	1.19000		SELL ONLY
Delaware Funds by Macquarie	Delaware Value? Fund Class R6	24610C840	DDZRX				0.61000		SELL ONLY
Delaware Funds by Macquarie	Delaware Value? Fund Institutional Class	24610C857	DDVIX				0.69000		SELL ONLY
Delaware Funds by Macquarie	Delaware Wealth Builder Fund Class A	24610B107	DDIAX	5.75		.25	1.07000		SELL ONLY
Delaware Funds by Macquarie	Delaware Wealth Builder Fund Class C	24610B305	DDICX		1.00	1.00	1.82000		SELL ONLY
Delaware Funds by Macquarie	Delaware Wealth Builder Fund Class R	24610B842	DDDRX			.50	1.32000		SELL ONLY
Delaware Funds by Macquarie	Delaware Wealth Builder Fund Institutional Class	24610B404	DDIIX				0.82000		SELL ONLY
Diamond Hill Funds	Diamond Hill Core Bond Fund Class I	25264S510	DHRIX				0.47000		SELL ONLY
Diamond Hill Funds	Diamond Hill Core Bond Fund Investor Share	25264S528	DHRAX			.25	0.76000		SELL ONLY
Diamond Hill Funds	Diamond Hill International Fund Class I	25264S445	DHIIX				0.85000		SELL ONLY
Diamond Hill Funds	Diamond Hill International Fund Investor Share	25264S452	DHIAX			.25	1.14000		SELL ONLY
Diamond Hill Funds	Diamond Hill Large Cap Fund Class I	25264S841	DHLRX				0.67000		SELL ONLY
Diamond Hill Funds	Diamond Hill Large Cap Fund Investor Share	25264S502	DHLAX			.25	0.96000		SELL ONLY
Diamond Hill Funds	Diamond Hill Long Short Fund Class I	25264S833	DHLSX				1.49000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Diamond Hill Funds	Diamond Hill Long Short Fund Investor Share	25264S403	DIAMX			.25	1.78000		SELL ONLY
Diamond Hill Funds	Diamond Hill Mid Cap Fund Class I	25264S619	DHPIX				0.77000		SELL ONLY
Diamond Hill Funds	Diamond Hill Mid Cap Fund Investor Share	25264S635	DHPAX			.25	1.06000		SELL ONLY
Diamond Hill Funds	Diamond Hill Select Fund Class I	25264S759	DHLTX				0.87000		SELL ONLY
Diamond Hill Funds	Diamond Hill Select Fund Investor Share	25264S775	DHTAX			.25	1.16000		SELL ONLY
Diamond Hill Funds	Diamond Hill Short Duration Securitized Bond Fund Class I	25264S544	DHEIX				0.52000		SELL ONLY
Diamond Hill Funds	Diamond Hill Short Duration Securitized Bond Fund Investor Share	25264S551	DHEAX			.25	0.81000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Cap Fund Class I	25264S858	DHSIX				0.97000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Cap Fund Investor Share	25264S304	DHSCX			.25	1.26000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Mid Cap Fund Class I	25264S783	DHMIX				0.92000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Mid Cap Fund Investor Share	25264S817	DHMAX			.25	1.21000		SELL ONLY
Dimensional Fund Advisors	DFA Asia Pacific Small Company Portfolio Institutional Class	233203850	DFRSX				0.50000		SELL ONLY
Dimensional Fund Advisors	DFA California Intermediate-Term Municipal Bond Portfolio Institutional Class Shares	23320G430	DCIBX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA California Municipal Real Return Portfolio Institutional Class	25239Y394	DCARX				0.26000		SELL ONLY
Dimensional Fund Advisors	DFA California Short Term Municipal Bond Portfolio Institutional Class	233203330	DFCMX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA Commodity Strategy Portfolio Institutional Class Shares	23320G463	DCMSX				0.32000		SELL ONLY
Dimensional Fund Advisors	DFA Continental Small Company Portfolio Institutional Class	233203702	DFCSX				0.49000		SELL ONLY
Dimensional Fund Advisors	DFA Diversified Fixed Income Portfolio Institutional Class	23320G166	DFXIX				0.26000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Core Equity Portfolio Institutional Class	233203421	DFCEX				0.39000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	DFA Emerging Markets ex China Core Equity Portfolio Institutional Class	25239Y287	DAADX				0.47000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Portfolio Institutional Class	233203785	DFEMX				0.45000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Small Cap Portfolio Institutional Class	233203611	DEMSX				0.79000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Social Core Portfolio	233203363	DFESX				0.44000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Sustainability Core 1 Portfolio Institutional Class	25239Y378	DESIX				0.44000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Targeted Value Portfolio Institutional Class	23320G117	DEMGX				0.67000		SELL ONLY
Dimensional Fund Advisors	DFA Emerging Markets Value Portfolio Institutional Class	233203587	DFEVX				0.54000		SELL ONLY
Dimensional Fund Advisors	DFA Enhanced U.S. Large Company Portfolio Institutional Class	233203637	DFELX				0.19000		SELL ONLY
Dimensional Fund Advisors	DFA Five-Year Global Fixed Income Portfolio Institutional Class	233203884	DFGBX				0.21000		SELL ONLY
Dimensional Fund Advisors	DFA Global Allocation 25/75 Portfolio Institutional Class	25434D633	DGTSX				0.39000		SELL ONLY
Dimensional Fund Advisors	DFA Global Allocation 60/40 Portfolio Institutional Class	25434D658	DGSIX				0.42000		SELL ONLY
Dimensional Fund Advisors	DFA Global Core Plus Fixed Income Portfolio Institutional Class	25239Y386	DGCFX				0.24000		SELL ONLY
Dimensional Fund Advisors	DFA Global Core Plus Real Return Portfolio Institutional Class	25239Y295	DFAAX				0.30000		SELL ONLY
Dimensional Fund Advisors	DFA Global Equity Portfolio Institutional Class	25434D674	DGEIX				0.43000		SELL ONLY
Dimensional Fund Advisors	DFA Global Real Estate Securities Portfolio	23320G554	DFGEX				0.31000		SELL ONLY
Dimensional Fund Advisors	DFA Global Small Company Portfolio Institutional Class	23320G158	DGLIX				0.64000		SELL ONLY
Dimensional Fund Advisors	DFA Global Sustainability Fixed Income Portfolio Institutional Class	25239Y360	DGSFX				0.23000		SELL ONLY
Dimensional Fund Advisors	DFA Inflation-Protected Securities Portfolio Institutional Class	233203355	DIPSX				0.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	DFA Intermediate Government Fixed Income Portfolio Institutional Class	233203876	DFIGX				0.12000		SELL ONLY
Dimensional Fund Advisors	DFA Intermediate-Term Extended Quality Portfolio Institutional Class Shares	23320G513	DFTEX				0.21000		SELL ONLY
Dimensional Fund Advisors	DFA Intermediate-Term Municipal Bond Portfolio Institutional Class	23320G315	DFTIX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA International Core Equity Portfolio Institutional Class	233203371	DFIEX				0.23000		SELL ONLY
Dimensional Fund Advisors	DFA International High Relative Profitability Portfolio Institutional Class	23320G141	DIHRX				0.30000		SELL ONLY
Dimensional Fund Advisors	DFA International Large Cap Growth Portfolio	23320G265	DILRX				0.28000		SELL ONLY
Dimensional Fund Advisors	DFA International Real Estate Securities Portfolio Institutional Class	233203348	DFITX				0.27000		SELL ONLY
Dimensional Fund Advisors	DFA International Small Cap Growth Portfolio	23320G257	DISMX				0.49000		SELL ONLY
Dimensional Fund Advisors	DFA International Small Cap Value Portfolio Institutional Class	233203736	DISVX				0.43000		SELL ONLY
Dimensional Fund Advisors	DFA International Small Company Portfolio Institutional Class	233203629	DFISX				0.39000		SELL ONLY
Dimensional Fund Advisors	DFA International Social Core Equity Portfolio Institutional Class Shares	25239Y626	DSCLX				0.26000		SELL ONLY
Dimensional Fund Advisors	DFA International Sustainability Core 1 Portfolio	233203199	DFSPX				0.24000		SELL ONLY
Dimensional Fund Advisors	DFA International Value Portfolio III	25434D708	DFVIX				0.45000		SELL ONLY
Dimensional Fund Advisors	DFA International Value Portfolio Institutional Class	25434D203	DFIVX				0.49000		SELL ONLY
Dimensional Fund Advisors	DFA International Vector Equity Portfolio Institutional Class	23320G539	DFVQX				0.34000		SELL ONLY
Dimensional Fund Advisors	DFA Investment Grade Portfolio Institutional Class Shares	23320G448	DFAPX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA Japanese Small Company Portfolio Institutional Class	233203108	DFJSX				0.50000		SELL ONLY
Dimensional Fund Advisors	DFA Large Cap International Portfolio Institutional Class	233203868	DFALX				0.17000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	DFA LTIP Portfolio Institutional Class	25239Y873	DRXIX				0.13000		SELL ONLY
Dimensional Fund Advisors	DFA MN Municipal Bond Portfolio Institutional Class	25239Y410	DMNBX				0.43000		SELL ONLY
Dimensional Fund Advisors	DFA Municipal Bond Portfolio Institutional Class	23320G232	DFMPX				0.21000		SELL ONLY
Dimensional Fund Advisors	DFA Municipal Real Return Portfolio Institutional Class	25239Y550	DMREX				0.24000		SELL ONLY
Dimensional Fund Advisors	DFA NY Municipal Bond Portfolio Institutional Class	23320G224	DNVMX				0.26000		SELL ONLY
Dimensional Fund Advisors	DFA One-Year Fixed Income Portfolio Institutional Class	233203603	DFIHX				0.13000		SELL ONLY
Dimensional Fund Advisors	DFA Oregon Municipal Bond Portfolio Institutional Class	25239Y352	DOGMX				0.34000		SELL ONLY
Dimensional Fund Advisors	DFA Real Estate Securities Portfolio Institutional Class	233203835	DFREX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA Selective State Municipal Bond Portfolio Institutional Class	25239Y345	DSSMX				0.25000		SELL ONLY
Dimensional Fund Advisors	DFA Selectively Hedged Global Equity Portfolio Institutional Class Shares	23320G331	DSHGX				0.52000		SELL ONLY
Dimensional Fund Advisors	DFA Selectively Hedged Global Fixed Income Portfolio Institutional Class	233203223	DFSHX				0.16000		SELL ONLY
Dimensional Fund Advisors	DFA Short Term Municipal Bond Portfolio Institutional Class	233203439	DFSMX				0.19000		SELL ONLY
Dimensional Fund Advisors	DFA Short-Duration Real Return Portfolio Institutional Class	25239Y576	DFAIX				0.22000		SELL ONLY
Dimensional Fund Advisors	DFA Short-Term Extended Quality Portfolio Institutional Class	23320G521	DFEQX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA Short-Term Government Portfolio Institutional Class	233203405	DFFGX				0.17000		SELL ONLY
Dimensional Fund Advisors	DFA Social Fixed Income Portfolio Institutional Class	25239Y428	DSFIX				0.22000		SELL ONLY
Dimensional Fund Advisors	DFA Targeted Credit Portfolio Institutional Class	23320G216	DTCPX				0.22000		SELL ONLY
Dimensional Fund Advisors	DFA Two Year Global Fixed Income Portfolio Institutional Class	233203645	DFGFY				0.17000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	DFA Two-Year Fixed Income Portfolio Institutional Class	25434D872	DFCFX				0.22000		SELL ONLY
Dimensional Fund Advisors	DFA Two-Year Government Portfolio Institutional Class	25434D864	DFYGX				0.17000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Core Equity 1 Portfolio Institutional Class	233203413	DFEOX				0.15000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Core Equity II Portfolio Institutional Class	233203397	DFQTX				0.19000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Large Cap Equity Portfolio Institutional Class	23320G240	DUSQX				0.13000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Large Cap Growth Portfolio Institutional Class	23320G281	DUSLX				0.18000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Large Cap Value III Portfolio	25434D807	DFUVX				0.24000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Large Cap Value Portfolio Institutional Class	233203827	DFLVX				0.32000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Large Company Portfolio	25434D823	DFUSX				0.09000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Micro Cap Portfolio Institutional Class	233203504	DFSCX				0.41000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Small Cap Growth Portfolio Institutional Class	23320G273	DSCGX				0.32000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Small Cap Portfolio Institutional Class	233203843	DFSTX				0.28000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Small Cap Value Portfolio Institutional Class	233203819	DFSVX				0.31000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Social Core Equity 2 Portfolio	233203298	DFUEX				0.22000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Sustainability Core 1 Portfolio	233203215	DFSIX				0.17000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Sustainability Targeted Value Portfolio Institutional Class	25239Y311	DAABX				0.34000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Targeted Value Portfolio Institutional Class	233203595	DFVFX				0.30000		SELL ONLY
Dimensional Fund Advisors	DFA U.S. Vector Equity Portfolio Institutional Class	233203389	DFVEX				0.28000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	DFA United Kingdom Small Company Portfolio Institutional Class	233203207	DFUKX				0.61000		SELL ONLY
Dimensional Fund Advisors	DFA US High Relative Profitability Portfolio Institutional Class	23320G133	DURPX				0.23000		SELL ONLY
Dimensional Fund Advisors	DFA World Core Equity Portfolio Institutional Class	25239Y105	DREIX				0.44000		SELL ONLY
Dimensional Fund Advisors	DFA World ex U.S. Core Equity Portfolio Institutional Class Shares	25239Y592	DFWIX				0.30000		SELL ONLY
Dimensional Fund Advisors	DFA World ex U.S. Government Fixed Income Portfolio Institutional Class Shares	23320G323	DWFIX				0.20000		SELL ONLY
Dimensional Fund Advisors	DFA World ex U.S. Targeted Value Portfolio Institutional Class Shares	25239Y618	DWUSX				0.48000		SELL ONLY
Dimensional Fund Advisors	DFA World ex U.S. Value Port Institution Class	23320G471	DFWVX				0.55000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2010 Target Date Retirement Income Fund Institutional Class	23320G174	DRIBX				0.30000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2015 Target Date Retirement Income Fund Institutional Class	25239Y535	DRIQX				0.24000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2020 Target Date Retirement Income Fund Institutional Class	25239Y527	DRIRX				0.20000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2025 Target Date Retirement Income Fund Institutional Class	25239Y519	DRIUX				0.18000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2030 Target Date Retirement Income Fund Institutional Class	25239Y493	DRIWX				0.18000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2035 Target Date Retirement Income Fund Institutional Class	25239Y485	DRIGX				0.20000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2040 Target Date Retirement Income Fund Institutional Class	25239Y477	DRIHX				0.22000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Dimensional Fund Advisors	Dimensional 2045 Target Date Retirement Income Fund Institutional Class	25239Y469	DRIIX				0.22000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2050 Target Date Retirement Income Fund Institutional Class	25239Y451	DRIJX				0.22000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2055 Target Date Retirement Income Fund Institutional Class	25239Y444	DRIKX				0.23000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2060 Target Date Retirement Income Fund Institutional Class	25239Y436	DRILX				0.24000		SELL ONLY
Dimensional Fund Advisors	Dimensional 2065 Target Date Retirement Income Fund Institutional Class	25239Y329	DTDRX				0.53000		SELL ONLY
Dimensional Fund Advisors	Dimensional Retirement Income Fund Institutional Class	23320G190	TDIFX				0.22000		SELL ONLY
Dodge & Cox	Dodge & Cox Balanced Fund Class I	256201104	DODBX				0.52000		SELL ONLY
Dodge & Cox	Dodge & Cox Emerging Markets Stock Fund	256206400	DODEX				1.08000		SELL ONLY
Dodge & Cox	Dodge & Cox Global Bond Fund Class I	256206301	DODLX				0.52000		SELL ONLY
Dodge & Cox	Dodge & Cox Global Stock Fund Class I	256206202	DODW X				0.62000		SELL ONLY
Dodge & Cox	Dodge & Cox Income Fund Class I	256210105	DODIX				0.41000		SELL ONLY
Dodge & Cox	Dodge & Cox International Stock Fund Class I	256206103	DODFX				0.62000		SELL ONLY
Dodge & Cox	Dodge & Cox Stock Fund Class I	256219106	DODGX				0.51000		SELL ONLY
Domini	Domini Impact Bond Fund Class Y	257132795	DSBYX				0.91000		SELL ONLY
Domini	Domini Impact Bond Fund Institutional Shares	257132829	DSBIX				0.74000		SELL ONLY
Domini	Domini Impact Bond Fund Investor Shares	257132209	DSBFX			.25	1.13000		SELL ONLY
Domini	Domini Impact Equity Fund Class Y Shares	257132308	DSFRX				0.95000		SELL ONLY
Domini	Domini Impact Equity Fund Institutional Shares	257132852	DIEQX				0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Domini	Domini Impact Equity Fund Investor Shares	257132100	DSEFX			.25	1.00000		SELL ONLY
Domini	Domini Impact International Equity Fund Class Y	257132787	DOMYX				0.96000		SELL ONLY
Domini	Domini Impact International Equity Fund Institutional Shares	257132811	DOMOX				0.92000		SELL ONLY
Domini	Domini Impact International Equity Fund Investor Shares	257132704	DOMIX			.25	1.33000		SELL ONLY
Domini	Domini International Opportunities Fund Institutional Shares	257132746	LEADX				2.19000		SELL ONLY
Domini	Domini International Opportunities Fund Investor Shares	257132753	RISEX			.25	4.32000		SELL ONLY
Domini	Domini Sustainable Solutions Fund Institutional Shares	257132779	LIFEX				1.53000		SELL ONLY
Domini	Domini Sustainable Solutions Fund Investor Shares	257132761	CAREX			.25	2.05000		SELL ONLY
DoubleLine	DoubleLine Core Fixed Income Fund Class I	258620301	DBLFX				0.51000		SELL ONLY
DoubleLine	DoubleLine Core Fixed Income Fund Class N	258620400	DLFNX			.25	0.76000		SELL ONLY
DoubleLine	DoubleLine Core Fixed Income Fund Class R6	258620558	DDCFX				0.48000		SELL ONLY
DoubleLine	DoubleLine Emerging Markets Fixed Income Fund Class I	258620509	DBLEX				0.93000		SELL ONLY
DoubleLine	DoubleLine Emerging Markets Fixed Income Fund Class N	258620608	DLENX			.25	1.18000		SELL ONLY
DoubleLine	DoubleLine Emerging Markets Local Currency Bond Fund Class I	258620582	DBELX				2.35000		SELL ONLY
DoubleLine	DoubleLine Emerging Markets Local Currency Bond Fund Class N	258620574	DLELX			.25	2.71000		SELL ONLY
DoubleLine	DoubleLine Flexible Income Fund Class I	258620798	DFLEX				0.74000		SELL ONLY
DoubleLine	DoubleLine Flexible Income Fund Class N	258620780	DLINX			.25	0.99000		SELL ONLY
DoubleLine	DoubleLine Flexible Income Fund Class R6	258620533	DFFLX				0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DoubleLine	DoubleLine Floating Rate Fund Class I Shares	258620848	DBFRX				0.75000	1.00	SELL ONLY
DoubleLine	DoubleLine Floating Rate Fund Class N Shares	258620830	DLFRX			.25	1.00000	1.00	SELL ONLY
DoubleLine	DoubleLine Global Bond Fund Class I	258620699	DBLGX				0.61000		SELL ONLY
DoubleLine	DoubleLine Global Bond Fund Class N	258620681	DLGBX			.25	0.86000		SELL ONLY
DoubleLine	DoubleLine Income Fund Class I	258620517	DBLIX				0.90000		SELL ONLY
DoubleLine	DoubleLine Income Fund Class N	258620491	DBLNX			.25	1.15000		SELL ONLY
DoubleLine	DoubleLine Infrastructure Income Fund Class I	258620673	BILDY				0.57000		SELL ONLY
DoubleLine	DoubleLine Infrastructure Income Fund Class N	258620665	BILTX			.25	0.83000		SELL ONLY
DoubleLine	DoubleLine Long Duration Total Return Bond Fund Class I	258620749	DBLDX				0.62000		SELL ONLY
DoubleLine	DoubleLine Long Duration Total Return Bond Fund Class N	258620731	DLLDX			.25	0.92000		SELL ONLY
DoubleLine	DoubleLine Low Duration Bond Fund Class I	258620863	DBLSX				0.44000		SELL ONLY
DoubleLine	DoubleLine Low Duration Bond Fund Class N	258620855	DLSNX			.25	0.69000		SELL ONLY
DoubleLine	DoubleLine Low Duration Bond Fund Class R6	258620541	DDLDX				0.40000		SELL ONLY
DoubleLine	DoubleLine Low Duration Emerging Markets Fixed Income Fund Class I	258620772	DBLLX				0.74000		SELL ONLY
DoubleLine	DoubleLine Low Duration Emerging Markets Fixed Income Fund Class N	258620764	DELNX			.25	0.99000		SELL ONLY
DoubleLine	DoubleLine Multi-Asset Trend Fund Class I	258620483	DBMOX				1.79000		SELL ONLY
DoubleLine	DoubleLine Multi-Asset Trend Fund Class N	258620475	DLMOX			.25	2.02000		SELL ONLY
DoubleLine	DoubleLine Shiller Enhanced CAPE? Class I	258620822	DSEEX				0.55000		SELL ONLY
DoubleLine	DoubleLine Shiller Enhanced CAPE? Class N	258620814	DSENX			.25	0.80000		SELL ONLY
DoubleLine	DoubleLine Shiller Enhanced CAPE? Class R6	258620525	DDCPX				0.49000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DoubleLine	DoubleLine Shiller Enhanced International CAPE? Class I	258620632	DSEUX				0.96000		SELL ONLY
DoubleLine	DoubleLine Shiller Enhanced International CAPE? Class N	258620624	DLEUX			.25	1.21000		SELL ONLY
DoubleLine	DoubleLine Strategic Commodity Fund Class I	258620715	DBCMX				1.09000		SELL ONLY
DoubleLine	DoubleLine Strategic Commodity Fund Class N	258620723	DLCMX			.25	1.34000		SELL ONLY
DoubleLine	DoubleLine Total Return Bond Fund Class I	258620103	DBLTX				0.50000		SELL ONLY
DoubleLine	DoubleLine Total Return Bond Fund Class N	258620202	DLTNX			.25	0.75000		SELL ONLY
DoubleLine	DoubleLine Total Return Bond Fund Class R6	258620566	DDTRX				0.44000		SELL ONLY
DWS	DDWS ESG International Core Equity Fund - Class C	25156A676	DURCX		1.00	1.00	4.56000		SELL ONLY
DWS	DWS California Tax-Free Income Fund - Class C	25158X302	KCTCX		1.00	1.00	1.62000		SELL ONLY
DWS	DWS California Tax-Free Income Fund - Class S	25158X401	SDCSX				0.66000		SELL ONLY
DWS	DWS California Tax-Free Income Fund Institutional Class	25158X815	DCLIX				0.64000		SELL ONLY
DWS	DWS Capital Growth Fund - Class A	25157M109	SDGAX	5.75		.23	0.92000		SELL ONLY
DWS	DWS Capital Growth Fund - Class C	25157M307	SDGCX		1.00	.98	1.76000		SELL ONLY
DWS	DWS Capital Growth Fund - Class Institutional	25157M760	SDGTX				0.71000		SELL ONLY
DWS	DWS Capital Growth Fund - Class S	25157M406	SCGSX				0.68000		SELL ONLY
DWS	DWS Communications Fund - Class A	25159L729	COMAX	5.75		.24	1.65000		SELL ONLY
DWS	DWS Communications Fund - Class Inst	25159L687	COMZX				1.37000		SELL ONLY
DWS	DWS Core Equity Fund - Class A	25157M729	SUWAX	5.75		.23	0.82000		SELL ONLY
DWS	DWS Core Equity Fund - Class C	25157M661	SUWCX		1.00	.99	1.59000		SELL ONLY
DWS	DWS Core Equity Fund - Class Inst	25157M687	SUWIX				0.56000		SELL ONLY
DWS	DWS Core Equity Fund - Class S	25157M679	SCDGX				0.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS CROCI Equity Dividend Fund - Class A	25159G811	KDHAX	5.75		.24	1.02000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class C	25159G746	KDHCX		1.00	.99	1.83000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class Inst	25159G779	KDHIX				0.76000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class S	25159G761	KDHSX				0.79000		SELL ONLY
DWS	DWS CROCI International Fund - Class A	25156G673	SUIAX	5.75		.24	1.21000		SELL ONLY
DWS	DWS CROCI International Fund - Class C	25156G699	SUICX		1.00	1.00	1.98000		SELL ONLY
DWS	DWS CROCI International Fund - Class Inst	25156G731	SUIIX				0.87000		SELL ONLY
DWS	DWS CROCI International Fund - Class S	25156G715	SCINX				0.93000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class A	25157M588	DCUAX	5.75		.24	1.00000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class C	25157M570	DCUCX		1.00	.98	1.88000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class Inst	25157M554	DCUIX				0.70000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class S	25157M547	DCUSX				0.68000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Class A	25156G103	SEKAX	5.75		.25	1.49000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Class C	25156G301	SEKCX		1.00	1.00	2.13000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Class Inst	25156G780	SEKIX				1.12000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Class S	25156G400	SEMGX				1.24000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fund - Class A	25156A502	SZEAX	4.50		.24	1.66000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fund - Class Inst	25156A841	SZEIX				1.12000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fund - Class S	25156A726	SCEMX				1.31000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund - Class A	25159L877	SKNRX	5.75		.25	1.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Enhanced Commodity Strategy Fund - Class C	25159L851	SKCRX		1.00	1.00	1.99000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund - Class Inst	25159L836	SKIRX				1.01000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund - Class S	25159L844	SKSRX				1.10000		SELL ONLY
DWS	DWS Equity 500 Index Fund - Class Inst	25159R106	BTIIX				0.30000		SELL ONLY
DWS	DWS Equity 500 Index Fund - Class S	25159R205	BTIEX				0.36000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class A	25158W783	SUPAX	5.75		.24	1.07000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class C	25158W817	SUPCX		1.00	1.00	1.96000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class S	25158W825	SPGRX				0.85000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund Institutional Class	25158W767	SNPTX				0.77000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class A	25159G852	DESAX	5.75		.24	0.97000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class C	25159G878	DESCX		1.00	.99	1.78000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class Institutional	25159G704	DESGX				0.68000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class S	25159G886	DESSX				0.72000		SELL ONLY
DWS	DWS ESG International Core Equity Fund - Class A	25156A684	DURAX	5.75		.23	3.80000		SELL ONLY
DWS	DWS ESG International Core Equity Fund - Class Institutional	25156A668	DURIX				3.52000		SELL ONLY
DWS	DWS ESG International Core Equity Fund - Class S	25156A650	DURSX				3.59000		SELL ONLY
DWS	DWS Floating Rate Fund - Class A	25157W602	DFRAX	2.75		.25	1.29000		SELL ONLY
DWS	DWS Floating Rate Fund - Class C	25157W701	DFRCX		1.00	1.00	2.09000		SELL ONLY
DWS	DWS Floating Rate Fund - Class Inst	25157W800	DFRTX				0.97000		SELL ONLY
DWS	DWS Floating Rate Fund - Class S	25157W883	DFRPX				1.13000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Global High Income Fund - Class A	25155T882	SGHAX	4.50		.25	0.97000		SELL ONLY
DWS	DWS Global High Income Fund - Class C	25155T700	SGHCX		1.00	1.00	1.70000		SELL ONLY
DWS	DWS Global High Income Fund - Class Inst	25155T502	MGHYX				0.70000		SELL ONLY
DWS	DWS Global High Income Fund - Class S	25155T601	SGHSX				0.76000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class A	25159K820	KTRAX	5.75		.23	0.89000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class C	25159K796	KTRCX		1.00	.99	1.71000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class Inst	25159K770	KTRIX				0.66000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class S	25159K788	KTRSX				0.70000		SELL ONLY
DWS	DWS Global Macro Fund - Class A	25156G871	DBISX	5.75		.24	1.30000		SELL ONLY
DWS	DWS Global Macro Fund - Class C	25156G806	DBICX		1.00	1.00	2.09000		SELL ONLY
DWS	DWS Global Macro Fund - Class Inst	25156G509	MGINX				0.95000		SELL ONLY
DWS	DWS Global Macro Fund - Class S	25156G608	DBIVX				1.07000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class A	25156A106	KGDX	5.75		.24	1.52000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class C	25156A304	KGDCX		1.00	.99	2.40000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class Inst	25156A601	KGDX				1.18000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class S	25156A403	SGSCX				1.18000		SELL ONLY
DWS	DWS GNMA Fund - Class A	25155T718	GGGX X	2.75		.22	0.83000		SELL ONLY
DWS	DWS GNMA Fund - Class C	25155T692	GCGGX		1.00	.99	1.60000		SELL ONLY
DWS	DWS GNMA Fund - Class Inst	25155T676	GIGGX				0.57000		SELL ONLY
DWS	DWS GNMA Fund - Class S	25155T684	SGINX				0.61000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class A	25159L620	SUHAX	5.75		.24	1.33000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class C	25159L596	SUHCX		1.00	.98	2.13000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class Inst	25159L570	SUHIX				1.06000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Health and Wellness Fund - Class S	25159L588	SCHLX				1.06000		SELL ONLY
DWS	DWS High Income Fund - Class A	25155T668	KHYAX	4.50		.23	0.98000		SELL ONLY
DWS	DWS High Income Fund - Class C	25155T643	KHYCX		1.00	1.00	1.79000		SELL ONLY
DWS	DWS High Income Fund - Class Inst	25155T635	KHYIX				0.74000		SELL ONLY
DWS	DWS High Income Fund - Class S	25155T106	KHYSX				0.79000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class C	25159H306	SZMCX		1.00	1.00	1.56000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class Inst	25159H504	SZMIX				0.55000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class S	25159H405	SCMTX				0.63000		SELL ONLY
DWS	DWS International Growth Fund - Class A	25156A775	SGQAX	5.75		.25	1.22000		BUY,SELL
DWS	DWS International Growth Fund - Class C	25156A817	SGQCX		1.00	.98	2.10000		SELL ONLY
DWS	DWS International Growth Fund - Class Inst	25156A700	SGQIX				0.92000		SELL ONLY
DWS	DWS International Growth Fund - Class S	25156A833	SCOBX				0.93000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Class A	25157M695	SGGAX	5.75		.24	1.17000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Class C	25157M737	SGGCX		1.00	.99	1.93000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Class Inst	25157M752	SGGIX				0.91000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Class S	25157M745	SCQGX				0.90000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class A	25156G756	SLANX	5.75		.24	1.63000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class C	25156G772	SLAPX		1.00	1.00	2.37000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class Inst	25156G574	SLARX				1.33000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class S	25156G798	SLAFX				1.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Managed Municipal Bond Fund - Class C	25158T822	SMLCX		1.00	.99	1.58000		SELL ONLY
DWS	DWS Managed Municipal Bond Fund - Class Inst	25158T855	SMLIX				0.56000		SELL ONLY
DWS	DWS Managed Municipal Bond Fund - Class S	25158T848	SCMBX				0.62000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Class A	25158X880	SQMAX	2.75		.25	0.91000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Class C	25158X864	SQMCX		1.00	1.00	1.68000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Class S	25158X856	SCMAX				0.72000		SELL ONLY
DWS	DWS Multi-Asset Conservative Allocation Fund - Class A	25158W106	SPDAX	5.75		.24	1.17000		SELL ONLY
DWS	DWS Multi-Asset Conservative Allocation Fund - Class C	25158W304	SPDCX		1.00	1.00	2.03000		SELL ONLY
DWS	DWS Multi-Asset Conservative Allocation Fund - Class S	25158W403	SPBAX				0.92000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fund - Class A	25158W833	PLUSX	5.75		.24	1.77000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fund - Class C	25158W866	PLSCX		1.00	1.00	2.58000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fund - Class S	25158W874	PPLSX				1.52000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - Class A	25158X500	KNTAX	2.75		.23	0.91000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - Class C	25158X708	KNTCX		1.00	.99	1.72000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - Class S	25158X807	SNWYX				0.70000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund Institutional Class	25158X799	DNTIX				0.68000		SELL ONLY
DWS	DWS RREEF Global Infrastructure Fund - Class A	25156A874	TOLLX	5.75		.25	1.39000		BUY,SELL
DWS	DWS RREEF Global Infrastructure Fund - Class C	25156A866	TOLCX		1.00	1.00	2.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS RREEF Global Infrastructure Fund - Class Inst	25156A858	TOLIX				1.12000		SELL ONLY
DWS	DWS RREEF Global Infrastructure Fund - Class S	25156A809	TOLSX				1.21000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securities Fund - Class A	25159L109	RRGAX	5.75		.25	1.38000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securities Fund - Class C	25159L208	RRGCX		1.00	1.00	2.08000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securities Fund - Class Inst	25159L406	RRGIX				1.03000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securities Fund - Class S	25159L307	RRGTX				1.16000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class A	25159K879	AAAAX	5.75		.25	1.28000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class C	25159K887	AAAPX		1.00	1.00	2.01000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class Inst	25159K705	AAAZX				1.00000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class S	25159K804	AAASX				1.12000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund - Class A	25159L505	RRRAX	5.75		.25	0.99000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund - Class C	25159L703	RRRCX		1.00	1.00	1.71000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund - Class Instl	25159L737	RRRRX				0.62000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund - Class S	25159L885	RRREX				0.75000		SELL ONLY
DWS	DWS S&P 500 Index Fund - Class A	25159R700	SXPAX	4.50		.24	0.56000		BUY,SELL
DWS	DWS S&P 500 Index Fund - Class C	25159R882	SXPCX		1.00	1.00	1.26000		SELL ONLY
DWS	DWS S&P 500 Index Fund - Class S	25159R874	SCPIX				0.30000		SELL ONLY
DWS	DWS Science and Technology Fund - Class A	25159L562	KTCAX	5.75		.22	0.90000		SELL ONLY
DWS	DWS Science and Technology Fund - Class C	25159L547	KTCCX		1.00	.98	1.77000		SELL ONLY
DWS	DWS Science and Technology Fund - Class Inst	25159L521	KTCIX				0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Science and Technology Fund - Class S	25159L539	KTCSX				0.72000		SELL ONLY
DWS	DWS Short Duration Fund - Class A	25155T627	PPIAX	2.25		.25	0.87000		SELL ONLY
DWS	DWS Short Duration Fund - Class C	25155T593	PPLCX		1.00	1.00	1.63000		SELL ONLY
DWS	DWS Short Duration Fund - Class Inst	25155T577	PPILX				0.61000		SELL ONLY
DWS	DWS Short Duration Fund - Class S	25155T585	DBPIX				0.69000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - Class A	25158T863	SRMAX	2.25		.25	0.82000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - Class C	25158T889	SRMCX		1.00	.99	1.55000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - Class Inst	25158T830	MGSM X				0.57000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - Class S	25158T806	SRMSX				0.61000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class A	25157M778	SZCAX	5.75		.24	1.19000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class C	25157M794	SZCCX		1.00	.98	1.98000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class Inst	25157M521	SZCIX				0.90000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class S	25157M810	SSLCX				0.94000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class A	25157M885	SSDAX	5.75		.23	1.27000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class C	25157M703	SSDCX		1.00	.99	2.16000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class Inst	25157M505	SSDIX				0.97000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class S	25157M604	SSDSX				1.01000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund - Class C	25158T301	NOTCX		1.00	1.00	1.71000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund - Class Inst	25158T509	NOTIX				0.69000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund - Class S	25158T400	SHYTX				0.74000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class A	25157W107	SZIAX	2.75		.24	1.03000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class C	25157W305	SZICX		1.00	.98	1.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
DWS	DWS Total Return Bond Fund - Class Inst	25157W503	SZIIX				0.74000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class S	25157W404	SCSBX				0.79000		SELL ONLY